

1 **Towards a feed material adaptive optical belt sorter: A simulation study utilizing a DEM-**  
2 **CFD approach**

3

4 Albert Bauer<sup>1,\*</sup>, Georg Maier<sup>2</sup>, Marcel Reith-Braun<sup>3</sup>, Harald Kruggel-Emden<sup>1</sup>, Florian Pfaff<sup>3</sup>,  
5 Robin Gruna<sup>2</sup>, Uwe Hanebeck<sup>3</sup>, Thomas Längle<sup>2</sup>

6 <sup>1</sup>Technische Universität Berlin, Chair of Mechanical Process Engineering and Solids  
7 Processing, Ernst-Reuter-Platz 1, 10587 Berlin, Germany.

8 <sup>2</sup> Fraunhofer IOSB, Institute of Optronics, System Technologies and Image Exploitation,  
9 Fraunhoferstrasse 1, 76131 Karlsruhe, Germany

10 <sup>3</sup> Intelligent Sensor-Actuator-Systems Laboratory (ISAS), Karlsruhe Institute of Technology,  
11 76131 Karlsruhe, Germany

12 Email corresponding author: a.bauer@tu-berlin.de

13

14 **Abstract**

15 In this investigation, a DEM-CFD model of an optical belt sorter is modified to become adaptive  
16 to varying belt speeds. For that, the positions and orientations of the nozzle bar and collecting  
17 containers are rearranged. Also, the duration of nozzle activation and optimal position of  
18 particle ejection are adjusted. For the derivation of optimal velocity-dependent parameters, a  
19 two-dimensional model is derived and optimized as a pre-processing step. The derived  
20 parameters are applied to the three-dimensional DEM-CFD model. Two optically  
21 distinguishable types of demolition waste materials are considered. All conveyor belt velocities  
22 are investigated with instantaneously and lagged activated nozzles, which represent fast and  
23 realistic triggered nozzle activations. The application of optimized sorting setups shows  
24 promising sorting results for a broad range of conveyor belt velocities. The obtained results  
25 are discussed in terms of their feasibility in being applied to real optical belt sorters.

26

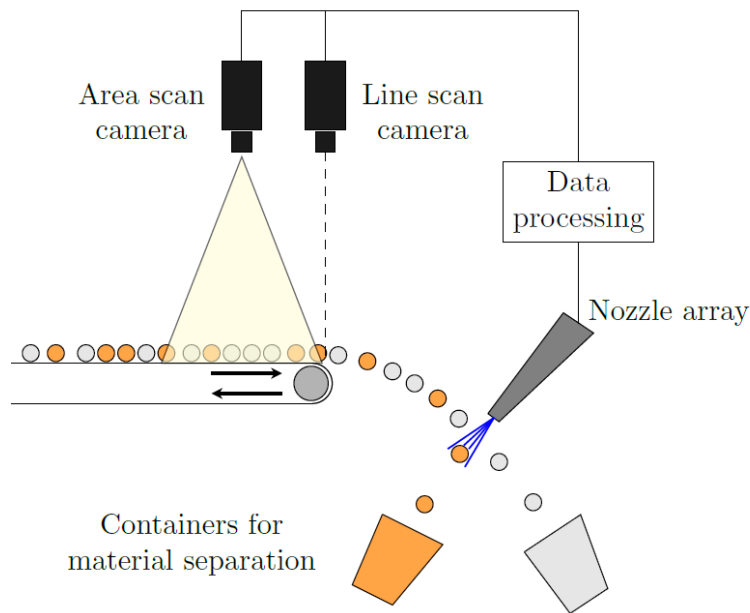
27 Keywords: Discrete Element Method (DEM), Computational Fluid Dynamics (CFD), Optical  
28 belt sorting, Sorting optimization

29

## 30 **1 Introduction**

31 Sensor-based sorters play an important role in material pre-treatment in the mining industry  
32 [1]–[3], in food processing [4]–[8] and in waste sorting [9]–[12]. The growing importance of  
33 sorting quality is the main driver in sorting system development today [13]. Increasing demand  
34 for resources, along with growing environmental awareness, contributes to that aim and  
35 pushes it in the vast field of waste recycling especially. The European Union aims to improve  
36 waste recycling and decrease waste landfilling in the next years [14]. To name two examples,  
37 with only roughly 46 % of demolition waste (2011, [15]) and 37 % of plastic waste in the EU  
38 being currently recycled (2021, [16]), there is still a great need for improvement in particular  
39 for development in terms of sensor-based sorting. In sensor-based sorting, properties of  
40 material classes are measured by a sensor, and those classes are separated based on their  
41 properties. Sensor types and, therefore, measured properties can be manifold, for example,  
42 [13] elemental composition measured by X-ray fluorescence, reflection or absorption of  
43 infrared light, radiation measured by near-infrared spectrometry or hull detection measured via  
44 laser triangulation. In the present study, we focus on a sorting system that captures the particle  
45 color using a camera in the visible wavelength range. The principle of such an optical belt  
46 sorter is shown in Fig. 1. Either a line-scan camera or an area-scan camera detects the position  
47 and color of transported particles. The images are transferred to a data processing unit that  
48 controls an array of nozzles and calculates the specific nozzle, nozzle activation time and  
49 duration based on the particle movement by means of a sorting model. An estimation of particle  
50 movement is necessary, since the particle movement is unknown between the camera and  
51 nozzle array (see Section 3.1.2 for details).

52



53 Fig. 1: Illustration of an optical belt sorter. Particles from different classes move on the  
 54 conveyor belt and are either detected by a line-scan camera or an area-scan camera. Particle  
 55 movement is tracked by the area-scan camera, or the position orthogonal to the movement is  
 56 detected by the line-scan camera. The measurement data is then processed, and an activation  
 57 signal is transmitted to the particular nozzle, which sorts out the reject material. The accept  
 58 material can pass undisturbedly.

59

60 In sorting processes today, all components of the optical belt sorters are adjusted and fixed to  
 61 the expected operational conditions, mainly mass flow and input composition. Those  
 62 conditions, however, can fluctuate, possibly leading to reduced sorting accuracies. This  
 63 behavior was recently shown in an experimental investigation by [15]. Such fluctuations are  
 64 commonly being tried to be balanced by monitoring and pre-handling of material inflows. In  
 65 [15], the authors used a hopper for the feed material to ensure that the material stream would  
 66 remain constant and within the limit where the sorting performance is acceptable. Another  
 67 approach, which we present in this work, is to directly react to the fluctuations within the sorter  
 68 operation by adjusting the sorter hardware and parameters in an adequate way. As a result,  
 69 operation points of the sorter can be found, at which the sorting accuracy remains constant or  
 70 even increases, despite changing inflow conditions. This can be accomplished by influencing

71 the occupancy density on the conveyor belt through the conveyor belt velocity. The belt velocity  
72 affects the proximity of the particles on the belt, which could have a major impact on the sorting  
73 quality. This hypothesis is going to be investigated in this study.

74 The aim of our study can be summarized as follows: We want to develop an adaptive belt  
75 sorter that reacts to changed input feed conditions such as the mass flow rate by adapting the  
76 conveyor belt velocity, so that optimal sorting performance is assured. For that, we want to find  
77 a method to compute optimal sorting parameters at arbitrary belt velocities as a pre-processing  
78 step. With those parameters, we want to show that changing the conveyor belt velocities can  
79 be useful for improving the sorting accuracy. Our work can be seen as the first step towards  
80 an adaptive belt sorter, since conveyor belt velocity and sorting parameters are not changed  
81 during operation, but in advance.

82 To do so, a DEM-CFD model of an optical belt sorter, as depicted in Fig. 1, is utilized to identify  
83 the components and parameters that have to be adapted to changing conveyor belt velocities.  
84 These are the collecting containers' positions and orientations as well as nozzle activation time  
85 and nozzle activation offset. As a next step, a framework to compute optimal sorting  
86 parameters at arbitrary conveyor belt velocities is introduced. This is necessary for optimization  
87 since a direct optimization as part of the DEM-CFD would be too computationally costly. After  
88 the computation of the optimal parameters at a range of belt velocities, they are applied to the  
89 DEM-CFD model for validation. With those optimal parameters being validated, the sorting  
90 behavior of the optical belt sorter is investigated in detail for four inflow scenarios. Furthermore,  
91 the influence of the time lag between nozzle activation and actual formation of the air jet is  
92 analyzed in detail, since faster moving bulk particles require a faster sorting for proper material  
93 separation. A slow and thereby realistic sorting system is therefore compared to a fast-sorting  
94 system with no lag in terms of sorting accuracy. Sorting with the obtained parameters yields  
95 very good sorting results up to certain belt velocities. We can show that varying belt velocities  
96 can be beneficial for a range of sorting scenarios. The benefit is directly connected to the speed  
97 of nozzle activation.

98 For our study, we utilize the DEM-CFD method to model the whole sorting system. With the  
99 DEM, we compute the particle motion along with particle-particle and particle-wall interactions.  
100 The fluid phase is computed with a CFD simulation and coupled to the DEM at the area of the  
101 nozzles. We use unresolved DEM-CFD modeling, which means that the flow around the  
102 particles is not resolved in detail. The fluid force acting onto the particles is modeled by a drag  
103 force provided through a correlation instead. For material, we exemplarily consider two  
104 optically distinguishable rubble materials (brick and sand-lime brick) where the non-spherical  
105 particle shape is represented by clustered spheres. To perform reliable DEM-CFD simulations,  
106 we obtain the DEM contact parameters by an experimentally driven calibration procedure.  
107 Our strategy to model optical sorting by DEM-CFD is motivated by the qualities of this  
108 approach: While computing times are relatively small, the results yielded can be analyzed in  
109 detail, and large particle systems can be handled. Although being used throughout many  
110 industries, there is very little research concerning the numerical investigation of optical belt  
111 sorters [16]–[19]. The uncoupled DEM is used broadly to address pure conveying problems,  
112 especially to analyze wear and load [20]–[22]. Coupled DEM-CFD simulations are prominent  
113 in other topics, such as pneumatic conveying [23], fluidized beds [24] and many others [25]–  
114 [27]. For a comprehensive overview of possible methods, limits as well as applications of the  
115 DEM-CFD, we refer the reader to [28].  
116 Our article is structured as follows: The following Section 2 explains the methodology we used  
117 for the DEM-CFD simulations. Section 3 contains the description of our sorter model and the  
118 material representation in the DEM-CFD with calibration results. In Section 4, the  
119 consequences of changing the belt velocity for the bulk material and the affected components  
120 of the sorting are discussed. Section 5 presents the derivation of our optimization framework  
121 to operate the belt sorter at varying belt velocities and the derivation of related optimized  
122 parameters. In Section 6, the optimized parameters are applied to the DEM-CFD for a sorter  
123 operated at varying belt velocities for validation. Furthermore, real sorting scenarios with two  
124 different nozzle activation speeds are assessed, and corresponding results are shown. Finally,

125 Section 7 draws conclusions from the findings. The calibration procedure of the DEM contact  
 126 parameters is described in Appendix A.

127

## 128 **2 Methodology: DEM-CFD approach**

129 To describe particle movements within the DEM, we start with the second Newtonian law of  
 130 motion governing translational motion for particle  $i$  with the mass  $m_i$  and acceleration  $\ddot{\vec{x}}_i$

$$\ddot{\vec{x}}_i m_i = \overrightarrow{F}_i^c + \overrightarrow{F}_i^g + \overrightarrow{F}_i^f, \quad (1)$$

131 where  $\overrightarrow{F}_i^c$  are summed contact forces originating from contact with other particles and walls,  
 132  $\overrightarrow{F}_i^g$  is the gravitational force, and  $\overrightarrow{F}_i^f$  is the force caused by interaction with the surrounding  
 133 fluid. Analogously, rotational motion is governed by

$$J_i \dot{\vec{\omega}}_i + \vec{\omega}_i \times (J_i \vec{\omega}_i) = \Lambda_i^{-1} (\overrightarrow{T}_i^c + \overrightarrow{T}_i^r), \quad (2)$$

134 where  $\overrightarrow{T}_i^c$  are the summed torques induced by wall and particle interactions through sliding  
 135 friction and  $\overrightarrow{T}_i^r$  by rolling friction,  $J_i$  is the mass tensor of inertia, and  $\dot{\vec{\omega}}_i$  denotes the angular  
 136 acceleration both in the body fixed frame. A vector e.g. a torque is transformed from the global  
 137 frame to the body fixed frame by  $\Lambda_i^{-1}$ . Note that no torques are induced by fluid interaction as  
 138 no submodels for particle-fluid torques are applied and the fluid force  $\overrightarrow{F}_i^f$  causes no torques.

139 Adopting the approach from [19] and as also common within the DEM, the occurring contact  
 140 forces  $\overrightarrow{F}_i^c$  are split into a normal and a tangential part for contact modelling.

141 Normal contact forces  $\overrightarrow{F}^n$  are described by a linear spring damper model

$$\overrightarrow{F}^n = k^n \delta \vec{n} + \gamma^n \vec{v}_{rel}^n, \quad (3)$$

142 with normal spring stiffness  $k^n$ , virtual overlap  $\delta$ , normal vector  $\vec{n}$ , normal damping coefficient  
 143  $\gamma^n$  and the relative velocity at the contact point  $\vec{v}_{rel}^n$ . For the linear spring damper model, the  
 144 spring stiffness and damping coefficient can directly be calculated from coefficients of normal  
 145 restitution between particle–particle and particle–wall interactions and the predefined collision  
 146 time [29].

147 The tangential force  $\overrightarrow{F}^t$  is calculated from a linear spring model and assumed to be limited by  
 148 Coulomb friction

$$\overrightarrow{F}^t = \min \left( k^t \left| \overrightarrow{\xi}^t \right|, \mu_c \left| \overrightarrow{F}^n \right| \right) \vec{t}, \quad (4)$$

149 where  $k^t$  is the tangential spring stiffness,  $\left| \overrightarrow{\xi}^t \right|$  is the absolute value of tangential displacement  
 150 and  $\mu_c$  is the coefficient of Coulomb friction. The tangential vector is denoted by  $\vec{t}$ .

151 To model rolling resistance  $\overrightarrow{T}_i^r$  in eq. (2), a model provided by [30] is adapted. The rolling torque  
 152 can be calculated from the coefficient of rolling friction  $\mu_r$ , the normal force  $\overrightarrow{F}^n$ , the rolling  
 153 radius  $R_r$  and the relative angular velocity  $\overrightarrow{\omega}_{rel}$ ,

$$\overrightarrow{T}_i^r = -\mu_r \left| \overrightarrow{F}^n \right| R_r \frac{\overrightarrow{\omega}_{rel}}{\left| \overrightarrow{\omega}_{rel} \right|}. \quad (5)$$

154 The fluid phase as part of the nozzle jet is modeled separately for our setup and is described  
 155 by conservation of mass (eq. (6))

$$\frac{\partial \rho_f}{\partial t} + \nabla \left( \rho_f \overrightarrow{u}_f \right) = 0 \quad (6)$$

156 and conservation of momentum (eq. (7)), respectively

$$\frac{\partial (\rho_f \overrightarrow{u}_f)}{\partial t} + \nabla \left( \rho_f \overrightarrow{u}_f \overrightarrow{u}_f \right) = -\nabla p + \nabla \tau + \rho_f \overrightarrow{g}. \quad (7)$$

157 In Eqs. (6) and (7)  $\rho_f$  is the fluid density,  $\overrightarrow{u}_f$  the fluid velocity,  $p$  the pressure,  $\overrightarrow{g}$  the gravitational  
 158 acceleration and  $\tau$  the stress tensor. For turbulence modeling, we use the Reynolds-averaged  
 159 Navier-Stokes equations, so that the stress tensor can be written as

$$\tau = \eta_e \left[ (\nabla \overrightarrow{u}_f) + (\nabla \overrightarrow{u}_f)^{-1} \right], \quad (8)$$

160 where  $\eta_e$  is the effective viscosity which is obtained through turbulence modeling. In doing so,  
 161 we introduce additional equations and can solve Eqs. (6) and (7). Details of the used  
 162 turbulence model are given in Section 3.1.1.

163 DEM and CFD are coupled one way, which means that the solid phase is disturbed by the  
 164 fluid, but not vice versa. Therefore, Eqs. (6) and (7) are not considering the local fluid fraction  
 165  $\varepsilon_f$ , and the particle-fluid interaction force is not applied volumetrically. As a consequence,

166 phenomena such as slipstream introduced by particles on other particles are not covered by  
 167 our model.

168 The fluid force  $\vec{F}_i^f$  onto the particles is calculated by a drag-force correlation, which is the  
 169 reason why our model is referred to as unresolved DEM-CFD. The exact flow profile around a  
 170 particle is not computed but averaged and used to calculate an integral drag force, which acts  
 171 at the particle centroid. We use the drag model introduced by [31], since it is applicable to  
 172 complexly shaped particles. It is written as

$$\vec{F}_i^f = \vec{F}_i^D + \vec{F}_i^{\nabla p} = \frac{1}{2} \rho_f |\vec{u}_f - \vec{u}_p| c_D A_{\perp} \varepsilon_f^{1-\chi} (\vec{u}_f - \vec{u}_p). \quad (9)$$

173 The acting force is the sum of the drag  $\vec{F}_i^D$  and pressure gradient force  $\vec{F}_i^{\nabla p}$ . Velocities of fluid  
 174 and particles are denoted by  $\vec{u}_f$  and  $\vec{u}_p$ , respectively.  $c_D$  denotes the drag coefficient of a  
 175 particle,  $A_{\perp}$  the projection area perpendicular to the flow direction, and  $\varepsilon_f$  is the local fluid  
 176 porosity. It holds that  $0 < \varepsilon_f < 1$  due to the solid phase in the fluid.  $\chi$  is an empirical correction  
 177 factor and depends on the particle Reynolds number  $Re$  by

$$\chi = 3.7 - 0.65 \exp\left(-\frac{(1.5 - \log(Re))^2}{2}\right). \quad (10)$$

178 The Reynolds number for a particle is given by

$$Re = \frac{1}{\eta_f} \varepsilon_f \rho_f d_p |\vec{u}_f - \vec{u}_p|. \quad (11)$$

179 Particle diameter and fluid viscosity are denoted by the volume-equivalent quantity  $d_p$  and  $\eta_f$ ,  
 180 respectively. The drag coefficient is computed from a correlation that was derived by [32] for  
 181 non-spherical particles and is written as

$$C_D = \frac{8}{Re} \frac{1}{\sqrt{\phi_{\perp}}} + \frac{16}{Re} \frac{1}{\sqrt{\phi}} + \frac{3}{\sqrt{Re}} \frac{1}{\phi^{3/4}} + 0.42 \cdot 10^{0.4(-\log(\phi))^{0.2}} \frac{1}{\phi_{\perp}}, \quad (12)$$

182 where  $\phi_{\perp}$  is the ratio of the cross-sectional area of a volume equivalent sphere to the cross-  
 183 sectional area of the particle perpendicular to the flow. The ratio of the surface area of a volume  
 184 equivalent sphere to the surface area of the particle is denoted by  $\phi$ . It is referred to as the  
 185 sphericity.



### 186 **3 Considered sorting setup**

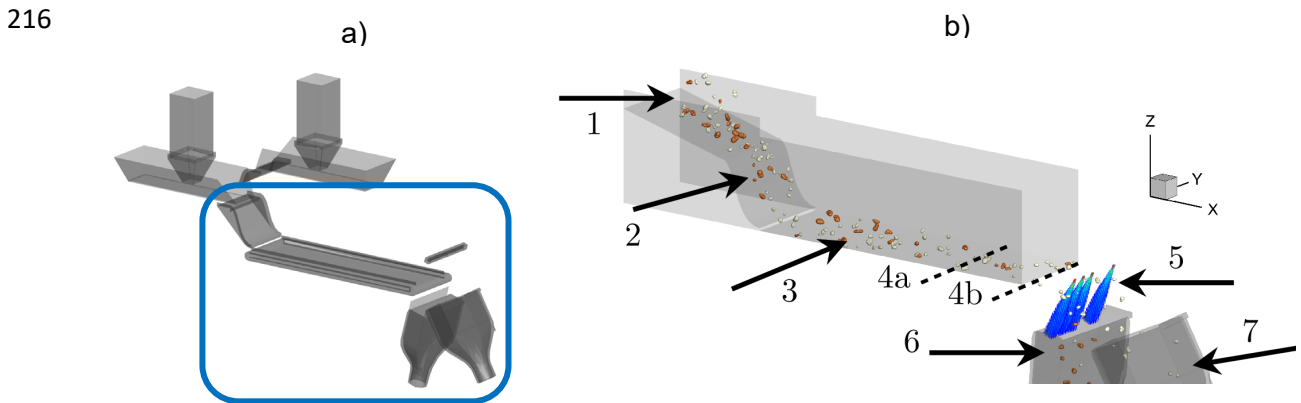
#### 187 **3.1 Numerical model of the optical belt sorter**

188 The dimensions and outline of the investigated sorting system are adapted from a laboratory-  
189 scale real sorting system, as shown in Fig. 2 (a). The feeding system was removed, and the  
190 components were simplified. An overview of the sorter setup can be seen in Fig. 2 (b). The  
191 sorter is fed by a continuous particle inlet (1), that controls the inflowing mass flow and the  
192 proportion of the material mixture. A chute (2) reduces vibrations of the material and pre-  
193 accelerates it. On the belt (3) the material is accelerated and transported to the detection stage  
194 (4), where image acquisition and successive data processing take place. The detection stage  
195 is either an area of 10 cm length (4a – 4b) for area-scan, camera-based sorting or a line (4b)  
196 for line-scan or ideal constant velocity sorting. If reject material that has to be sorted out is  
197 detected, nozzles are activated at the separation stage (5), and the particles are ejected into  
198 the reject container (6). The accept material can pass the separation stage without being  
199 deflected and is collected in the accept container (7).

200 The conveyor belt is 554 mm long and 140 mm wide. The accept and reject containers and  
201 nozzle positions, as well as their orientations, are adjustable in x- and z-direction. The exact  
202 position is bulk material dependent, since its friction parameters and flow resistance vary.  
203 Besides the latter parameters, further crucial parameters for the sorting process are the  
204 activation duration of the nozzles  $\Delta t$ , the sorting model (see Section 3.1.2) and the nozzle time  
205 lag, which will be explained in Section 3.1.3. Note that all mentioned parameters are commonly  
206 fixed for a particular sorting operation.

207 The numerical model of the experimental system was extensively compared against  
208 experiments in [33]. Here, we have studied the transport behavior of the bulk material on the  
209 conveyor belt. Additionally, the sorting accuracy of the numerical and the experimental system  
210 was compared for three input compositions at two different mass flows at a fixed conveyor belt  
211 velocity, totaling 6 scenarios. By that, not only the particle model (DEM), but also the particle-  
212 fluid interaction (DEM-CFD) was validated. The numerical model was found to reproduce the  
213 transport behavior with more than 95 % accordance in the majority of cases. The sorting results

214 of the simulations matched the experiments with more than 95 % in all investigated cases. For  
215 further details see [33].



217 Fig. 2: Model of the laboratory scale optical sorting system (a). The blue frame indicates the  
218 part of the system that is simplified and used for the investigations in this work (b).

219

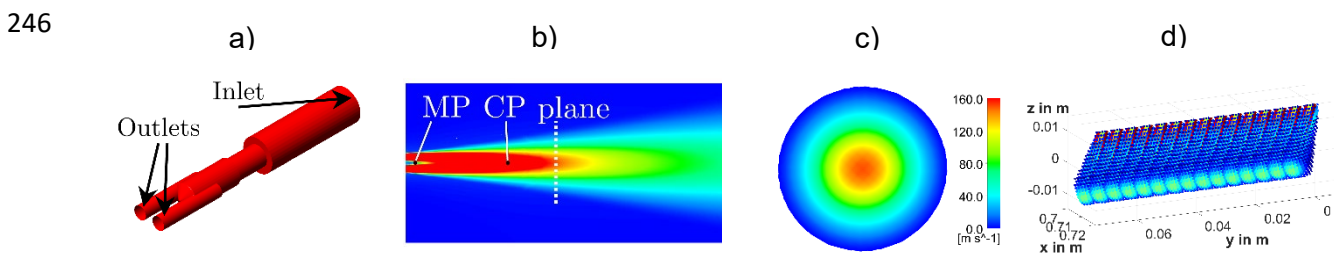
### 220 3.1.1 Model of the fluid jets

221 Fig. 3 (a) shows the geometry of a typical single nozzle as it is used for optical sorters. The  
222 geometry was imported from the real sorting system, which was mentioned before. It consists  
223 of one inlet and two outlets with a diameter of  $1.6\text{ mm}$  each. The computation of the resulting  
224 fluid field as required for the DEM-CFD was done with Ansys Fluent 19.2. We used a stationary  
225 incompressible RANS with a realizable  $k-\epsilon$  turbulence model that is well suited for free stream  
226 flows. Thus, eqs. (6) and (7) apply. Common model constants were used for the simulation  
227 [34]: A turbulence intensity of 3 % and a turbulent viscosity ratio of 8 were assumed. The inlet  
228 pressure was  $1.5\text{ bar}$ . The converged simulation result is shown in Fig. 3 (b) as a contour plot  
229 of the absolute velocity magnitude. One can observe the characteristic features of a free  
230 stream jet, which consist of the decay of the core stream velocity and the crosswise spread of  
231 the velocity profile [35]. Furthermore, we can locate the so-called merging point (MP) (see Fig.  
232 3 (b)), which is found where the inner shear layers of the jets originating from the two outlets  
233 (see Fig. 3 (a)) converge. Another characteristic point, the combined point (CP), is located  
234 further downstream and designates the region where the profile of the dual free stream jet

235 corresponds to that of a single free stream [36]. Fig. 3 (c) shows a plane cut through the jet at  
236 a 3 cm distance from the outlet. This distance becomes important in Section 5.1.1.

237 To prepare the fluid field for coupling with DEM simulations, it was coarsened from about 5  
238 million cells to roughly 10,000 cells. The fine CFD resolution is not needed for unresolved  
239 DEM-CFD coupling and would slow down computations unnecessarily. The whole nozzle array  
240 with 32 nozzles was obtained by concatenating the coarsened single nozzle field 32 times. 16  
241 of 32 activated nozzle fields are shown in Fig. 3 (d).

242 In the sorting process, 1-3 nozzles are activated to target a detected particle, depending on  
243 the particle size in the y-direction. A single nozzle covers a width of 5 mm in the real sorting  
244 system [37], so that the length of the whole bar is 16 cm in y-direction. Note that the air  
245 resistance during free flight of particles is neglected.



247 Fig. 3: Geometry of a single nozzle (a), its related fluid field as a contour plot (b), a plane cut  
248 at 3 cm from the outlet (c) and half of the resulting fluid field of the whole nozzle bar (d).

249

### 250 3.1.2 Applied sorting models

251 In the following, the three sorting models that were used for the present study are presented  
252 briefly. The purpose of the models is the prediction of a particle trajectory between the  
253 detection stage (camera) and the the separation stage (nozzle array). On that basis, the  
254 nozzles are triggered, and the activation time is computed. Note that the activation time is not  
255 necessarily identical to the formation of the fluid jet and depends on the time lag of the nozzle,  
256 which will be explained in the next Section 3.1.3. The exact location to which the sorting model  
257 must predict the particle movement to assure optimal separation is significant. This location  
258 will be optimized in Section 5.1.1. It is clear that the prediction quality of the used sorting model

259 is crucial for the overall sorting accuracy. A necessary requirement for the working principle of  
260 an optical sorter is therefore the optical distinction of particles by the sorting model. This  
261 excludes stacking material on the conveyor belt, where the lower layer of material is not visible  
262 and thus cannot be targeted properly, strongly reducing the accuracy of the whole sorting  
263 system. Such scenarios mark the operational limit of optical belt sorting and are consequently  
264 excluded from the investigations in this study.

265

266 **Line-scan, camera-based sorting:** The particles are detected by a line-scan camera at the  
267 last x-position in the transport direction of the conveyor belt as close as possible to the nozzle  
268 array (see Fig. 4 (a)). A passing particle's y-position can be identified in the camera image, the  
269 y-velocity component orthogonal to the transport direction is typically assumed to be zero. The  
270 velocity in the x-direction is typically assumed to be constant with a predefined value because  
271 it is not captured by the camera. In our sorter model, the assumed velocity is chosen to be  
272 equal to the average particle velocity at the detection stage  $\bar{v}$  obtained by preliminary DEM-  
273 CFD simulations, because slippage between particles and conveyor belt may occur, and  
274 therefore the conveyor belt velocity is not a suitable choice for  $\bar{v}$ . Line-scan camera based  
275 sorting is the standard sorting method applied in industry-scale sorters [38].

276

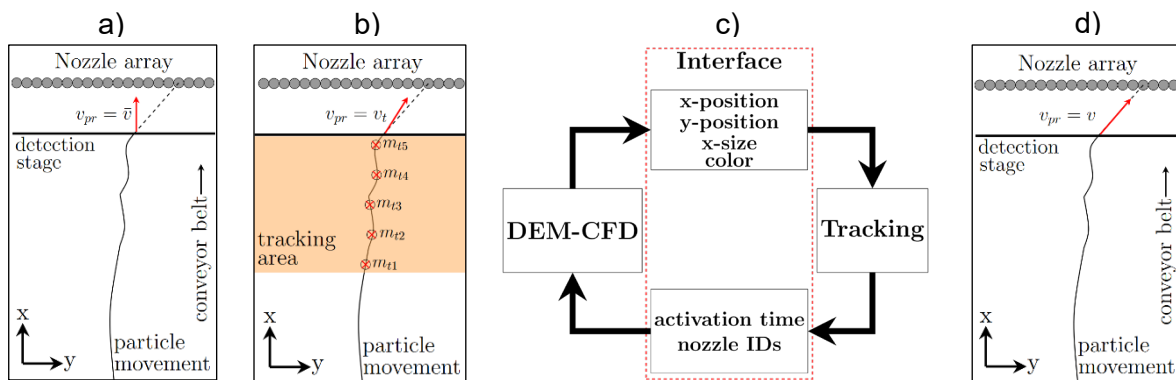
277 **Area-scan, camera-based sorting:** In this prediction procedure, the particles are tracked over  
278 a certain belt length, see Fig. 4 (b). Thus, this method is also referred to as tracking. Multitarget  
279 tracking, combined with Kalman filter estimation, is utilized for positional and velocity prediction  
280 of the tracked particles [39]. During the tracking phase, the state of each particle is  
281 subsequently estimated based on the measurements and used to predict the next state. The  
282 final prediction for the particular nozzle at which the particle arrives and the time of the nozzle  
283 activation is done between the last particle measurement and nozzle array. Calculations are  
284 performed under the assumption that the velocity remains constant. For further details  
285 concerning the algorithm and performance comparisons, see [19], [40]–[43]. The tracking is  
286 realized as a MATLAB routine, which runs parallel to the DEM-CFD simulation and exchanges

287 data with the simulation code, as shown in Fig. 4 (c). This method showed to yield improved  
 288 sorting results compared to the standard line-scan, camera-based sorting, which, among other  
 289 reasons, is due to a prediction of the y-velocity component of the particles.

290

291 **Ideal constant velocity:** This prediction method is a numerical benchmark model applicable  
 292 as part of the DEM-CFD. It makes use of the exact, numerically known velocity in x- and y-  
 293 direction  $v$  at the detection stage. Nozzle ID and activation time are then calculated under the  
 294 assumption of a constant velocity between the detection stage (camera) and separation stage  
 295 (nozzle array), as shown in Fig. 4 (d). This model will be referred to as ideal constant velocity  
 296 (ICV) in this work.

297



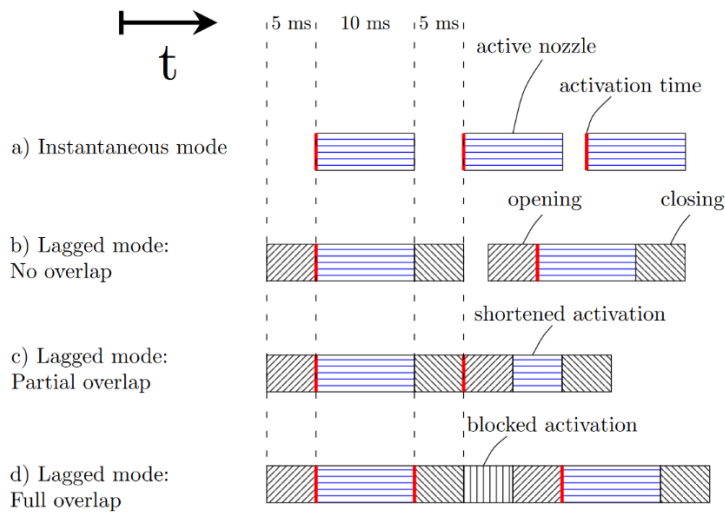
298 Fig. 4: Employed prediction models for sorting: a) line-scan camera, b) area-scan, camera-  
 299 based sorting, c) its realization in the DEM-CFD, and d) ideal constant velocity (ICV) prediction.

300

### 301 3.1.3 Nozzle operation modes

302 Two operation modes of nozzle activation were considered in our DEM-CFD model, namely  
 303 instantaneous and lagged. This is because, in reality, nozzles need a short timespan to be  
 304 triggered and to mechanically open and close and therefore cannot be used arbitrarily fast one  
 305 after another. The lag is caused by data processing time, electrical signal transmission time  
 306 and nozzle valve opening or closing, respectively. Nozzles are, therefore, automatically  
 307 operating lagged in real sorting systems. In other words, a nozzle is not activated at the desired  
 308 time, but a short period later. The lag time depends on the type of nozzle used (see [44]). Since

309 the numerical model is not limited by mechanical or electrical delays, it is possible to study the  
310 influence of the lag time on the sorting accuracy, which is done in Section 6.2. In the sorter  
311 described in [37], lag times of around 5 *ms* were found, which we will also use for our  
312 investigations. The principle of both activation modes is illustrated in Fig. 5. It shows possible  
313 scenarios in a series of nozzle activations for an exemplary activation duration of 10 *ms*. In  
314 scenario (a), we see that instantaneous nozzles can be activated arbitrarily fast due to absence  
315 of any lag at which it is opened or closed. The activation time is marked by the red line at the  
316 beginning of an activation period. When a nozzle is operated in lagged mode, the influence of  
317 the lag depends on the time between the points of activation. A nozzle can be activated at the  
318 desired point of time if there is sufficient time to account for the opening lag (b). As the  
319 activation times move closer together, a shortening of activation duration can occur due to the  
320 preceding nozzle opening (c). In the worst-case scenario (d), a whole activation period is  
321 blocked by preceding nozzle activation. As particle velocities increase at higher conveyor belt  
322 velocities, the time lag of nozzle activation will be important for sorting accuracy, which will be  
323 shown in Section 6.2.  
324



325

326 Fig. 5: Illustration of the two operation modes: Instantaneous and lagged. Outlined in four  
 327 different scenarios with an exemplary activation duration of 10 *ms*. Active nozzles are indicated  
 328 by blue horizontal lines, and opening and closing durations are shown as skew lines. Activation  
 329 time is indicated by a red line. Instantaneous nozzles can be activated arbitrarily fast (a). In  
 330 lagged mode, a nozzle needs an additional 5 *ms* to open and close, respectively. This may be  
 331 without influence (b), but can also lead to shortening (c) or blocking (d) of the activation period,  
 332 depending on the temporal spacing between the activation times.

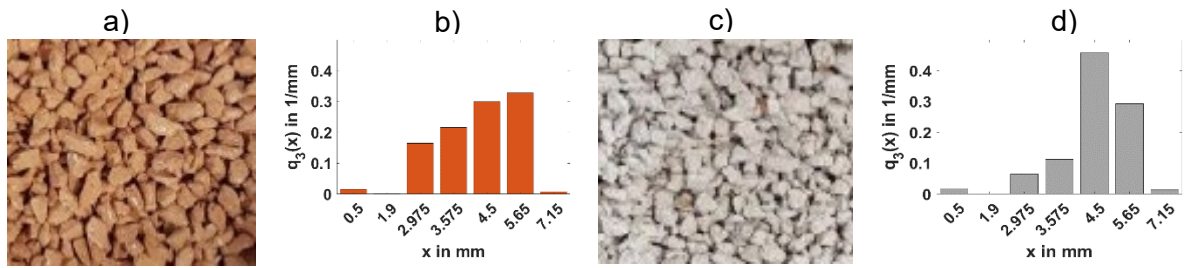
333

### 334 3.2 Material model

#### 335 3.2.1 Considered materials

336 For a realistic sorting scenario, brick (Fig. 6 (a)) and sand-lime brick (Fig. 6 (c)) have been  
 337 chosen. By sieving analysis, a particle size distribution was obtained based on the smallest  
 338 particle dimension, see Fig. 6 (b) and (d). Sizes of 3.5 *mm* to 5.65 *mm* were chosen for  
 339 representation of both materials, since they account for more than 80 % of the material bulk.  
 340 Density analysis yielded 2541 *kg/m*<sup>3</sup> for brick and 2565 *kg/m*<sup>3</sup> for sand-lime brick.

341



342 Fig. 6: Brick material (a) and its size distribution (b) and sand-lime brick (c) and its size  
 343 distribution (d).

344

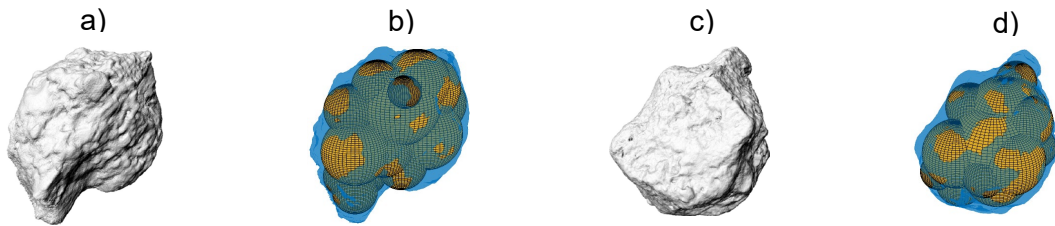
### 345 **3.2.2 Particle representation for simulations**

346 Based on size analysis, a CT scan of a representative selection of particles was conducted. A  
 347 clustering approach was employed since there exist faster and more robust contact detection  
 348 algorithms for clustered shapes that cut computing time drastically. Up to 20 spheres were  
 349 used to approximate the complex hulls by utilizing an optimization algorithm that minimizes the  
 350 protruding sphere volume. Fig. 7 shows the CT scanned particles (a, c) and the resulting  
 351 clusters (b, d) for two examples. In order to model the variation of particle shapes, 3 – 5 shapes  
 352 were identified for each size class. As a result, brick is represented by 11 particle types, sand-  
 353 lime brick by 13 types. Moments of inertia of the particles were calculated according to [45].  
 354 The particle shape was approximated by a large number of points, with a virtual volume being  
 355 assigned to each point. The moments of inertia were determined by the volume in the  
 356 corresponding coordinate direction. The error introduced by the utilized drag correlation in eq.  
 357 (12) is around 14.5 % in average, depending on the exact particle shape. Considering the  
 358 shapes of the approximated particles, the error caused by the drag correlation can be assumed  
 359 to be around 12 %, because cylindrical or cuboid shapes are not used [32]. The authors  
 360 additionally state that the error is likely to be less, because the experimental data used to  
 361 calculate the error shows broad scattering. The use of a resolved DEM-CFD approach, where  
 362 the fluid around the particles is solved in detail directly yielding the drag, would increase the  
 363 computational cost and complexity of the simulations by several orders of magnitude, as a  
 364 much finer time step would be required as for unresolved DEM-CFD simulations. In addition,



365 the coupling region in the area of the nozzle jets would have to be remeshed around each  
366 particle as they move through the fluid field. In highly loaded particle systems as investigated  
367 in Sec. 6, there may be present more than 20 particles at once in the coupling region. For a  
368 broad parameter study with a large number of simulations, such an approach (resolved DEM-  
369 CFD) would not be realizable.

370



371 Fig. 7: Triangular mesh from particle CT-Scan (a, c) and the same particle represented by a  
372 cluster of spheres (b, d) shown for two different particles.

373

### 374 3.3 DEM contact parameters

375 As a next step, calibration of DEM contact parameters was carried out. The general procedure  
376 of calibration is to conduct small-scale experiments and simulate them, while parameters of  
377 interest are varied until the results of simulations and experiments match, partly adapting the  
378 procedure of [46]. For a detailed outline of the calibration procedure, see Appendix A. The  
379 parameters obtained by calibration are summarized in Tab. 1. Note that parameters for the  
380 contact of both materials with each other were determined by averaging the values of the single  
381 material contacts for simplification. This is valid since both materials behaved similarly in all  
382 calibration experiments and contact parameters differ only marginally. Note further that all  
383 obtained contact parameters can be either directly applied in the DEM or, in the case of the  
384 COR, be used to calculate a normal stiffness  $k^n$  and a damping coefficient  $\gamma^n$  (both required  
385 for Eq. (3)) based on a predefined time for a collision, which was set as  $t = 5 \cdot 10^{-4} s$ . Each  
386 collision was resolved by 50 steps, resulting in a simulation time step of  $t = 1 \cdot 10^{-5} s$ . The  
387 tangential stiffness  $k^t$  (see Eq. (4)) is calculated as stated in [47] based on mechanical material  
388 properties.

Material	Sand-lime brick	Brick
COR P-SB [-]	0.19	0.215
COR P-B [-]	0.215	0.24
COR P-CB [-]	0.19	0.1
COR P-SW [-]	0.19	0.1
Sliding friction P-SB [-]	0.19	0.18
Sliding friction P-B [-]	0.18	0.17
Sliding friction P-CB [-]	0.4	0.56
Sliding friction P-SW [-]	0.4	0.56
Rolling friction P-SB [-]	$2 \cdot 10^{-2}$	$1.2 \cdot 10^{-2}$
Rolling friction P-B [-]	$1.2 \cdot 10^{-2}$	$3.8 \cdot 10^{-3}$
Rolling friction P-CB [-]	$7.5 \cdot 10^{-3}$	$5.8 \cdot 10^{-3}$
Rolling friction P-SW [-]	$7.5 \cdot 10^{-3}$	$5.8 \cdot 10^{-3}$

390 Tab. 1: Final calibrated parameters for simulations. (P) refers to the contacting particle, either  
 391 sand-lime brick or brick that comes into contact with either sand-lime brick (SB), brick (B),  
 392 conveyor belt (CB) or sorter wall (SW) material.

393

#### 394 **4 Sorter operation at adaptable conveyor belt velocities**

395 With the numerical model of the optical belt sorter, a systematic study of the sorter at various  
 396 conveyor belt velocities was conducted. Firstly, the bulk behavior on the conveyor belt was  
 397 investigated. No subsequent sorting was performed in the simulations. Secondly, those  
 398 components of the sorting system were identified, which were subject to the adjustment to  
 399 changed belt velocities. The identification was made with regard to the particle trajectory in the  
 400 free flight phase, which is highly impacted by the belt velocity.

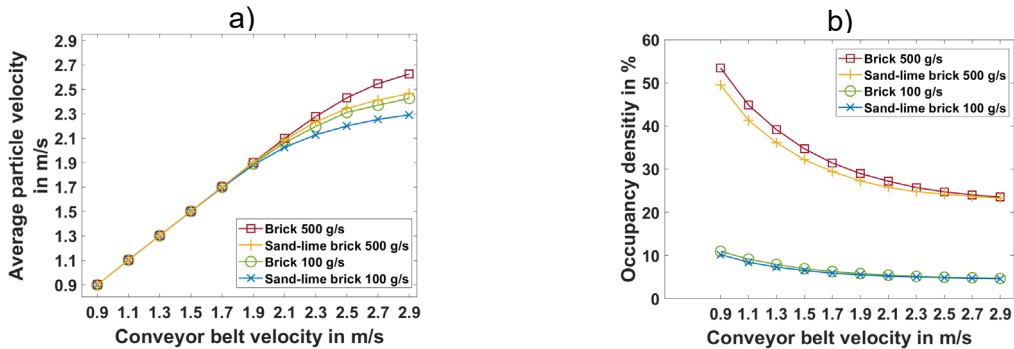
401

##### 402 **4.1 Characteristics of bulk transport**

403 To gain insight into the bulk behavior on the conveyor belt, numerical simulations were run at  
 404 two mass flows with a pure material stream of the respective materials. Simulations were run

405 at mass flows of 100  $g/s$  and 500  $g/s$ , and conveyor belt velocities of 0.9  $m/s$  to 2.9  $m/s$  varied  
 406 in 0.2 steps. The simulations were chosen such that they coincide with the sorting simulations  
 407 in Section 6. Fig. 8 shows the average particle velocity at the conveyor belt end in (a) and the  
 408 occupancy density on the conveyor belt in (b). The occupancy density is defined by the fraction  
 409 of the total belt area that is particle-covered.

410



411 Fig. 8: (a) Mean particle velocity when entering the free flight period versus the belt velocity for  
 412 brick and sand-lime brick at 100  $g/s$  and 500  $g/s$ . (b) Occupancy density as a function of the  
 413 belt velocity for brick and sand-lime brick at 100  $g/s$  and 500  $g/s$ . Both shown evaluated at  
 414 conveyor belt velocities of 0.9  $m/s$  to 2.9  $m/s$  varied in 0.2 steps.

415

416 The average particle velocity along the belt increases proportionally with belt velocity up to  
 417 1.9  $m/s$ , as shown in Fig. 8 (a). At higher belt velocities, particle slip occurs, and the curve  
 418 flattens depending on material and mass flow. This is a general phenomenon of material  
 419 conveying and depends on the specific conditions, such as transported material and belt  
 420 friction [48]. Sand-lime brick is accelerated less than brick due to smaller friction on the  
 421 conveyor belt (comp. Tab. 4). At 100  $g/s$ , sand-lime brick reaches a maximum velocity  
 422 of 2.28  $m/s$  in average while brick reaches a velocity of 2.42  $m/s$  at 100  $g/s$ . Furthermore  
 423 above 1.9  $m/s$  the distribution of the particle velocity along the belt broadens (not shown here).  
 424 In Fig. 8 (b), there is a clear trend of decreasing occupancy density for belt velocities up  
 425 to 2.3  $m/s$ . Particles are pulled apart faster from each other at increasing belt velocities, leading  
 426 to improved singulation. This effect is much more prominent for sorting scenarios at 500  $g/s$ ,

427 where occupancy density decreases from around 50 % to roughly 30 % from 0.9 – 2.1 *m/s*. At  
428 higher belt velocities it is diminished by dominating particle slip.

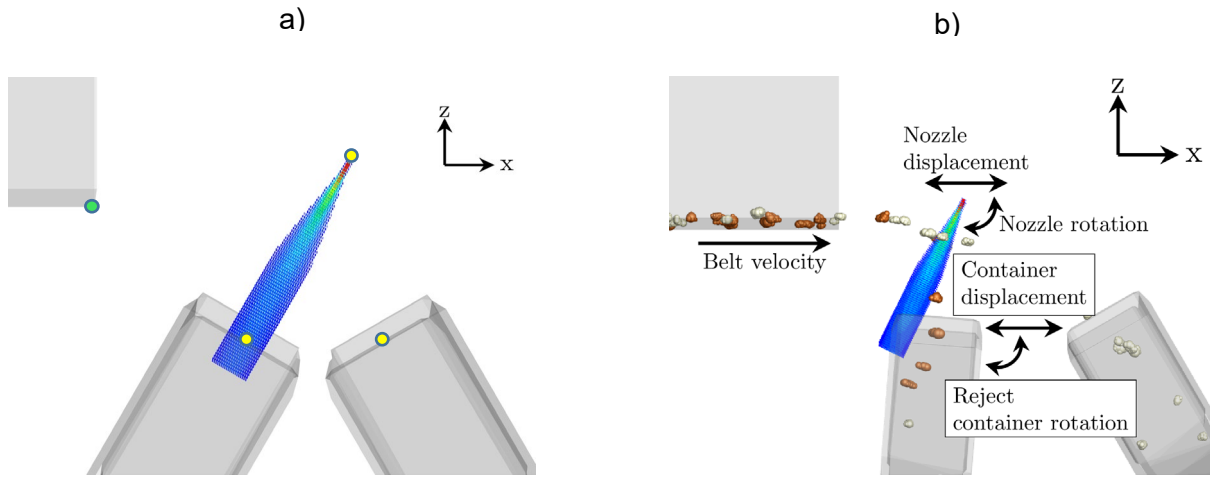
429 These findings have some important implications for the design and operation of optical belt  
430 sorters and the configuration of the separation stage. Obviously, there exist conveyor belt  
431 velocities where slip is increasingly present. At those velocities, economic operation is not  
432 feasible. Such velocities should therefore be avoided. For sorting setups operated below these  
433 velocities, however, decreased occupancy density present at elevated belt velocities can be  
434 useful in order to reduce undesirable clustering of particles, leading to less densely distributed  
435 scenarios on the belt. When the sorting setup is arranged for a certain belt velocity, it must be  
436 assured that the bulk is moving with the velocity of the belt. Otherwise, the actual bulk velocity  
437 has to be accounted for.

438

#### 439 **4.2 Velocity-dependent sorter components**

440 To adapt the sorting stage of the belt sorter to changing conveyor belt velocities, the positions  
441 and alignments of the components have to be chosen such that both fractions fall in the  
442 respective containers. Due to changed particle trajectories, the accept container must be  
443 moved along the x-axis. This was the only direction in which the accept container was allowed  
444 to be moved. For the reject material, more factors must be taken into account: Since it is  
445 deflected into the reject container by the fluid jet, the positions of the nozzle and reject  
446 container are interrelated. Thus, a movement of the nozzle affects the reject container and vice  
447 versa. To assure an optimal entry angle of the reject particles into the container, the alignment  
448 of both the nozzle and the container must also be re-adjusted. Fig. 9 shows the sorting stage  
449 of the sorter model in the initial positions and alignments (a) and with the adjustable  
450 components in a different position and alignment (b).

451



452

453 Fig. 9: Close view of the sorting stage with activated nozzles. (a) Components in the initial  
 454 configuration. The yellow dots represent the center points of the components, along which they  
 455 were moved and rotated. Positions are measured from the edge of the belt, marked by the  
 456 green dot. (b) The colored fluid jet deflects orange brick particles into the reject container in a  
 457 changed configuration. White sand-lime brick particles are collected in the accept container.  
 458 Arrows denote degrees of freedom of the sorter components.

459

460 To bound the complexity of the problem, z-positions were kept fixed for all components.  
 461 Furthermore, to have mobile components adjustable in only one coordinate direction simplifies  
 462 the implementation of adaptive components into a real sorting system. The components are  
 463 summarized with their initial position, alignment and degree of freedom in Tab. 2.

464

Component	Initial position	Degree of freedom
Nozzle angle	60.4 °	Rotation around y-axis
Nozzle position	$x = 8.0 \text{ cm}$ $z = 0.8 \text{ cm}$	x-axis
Reject container angle	29.6 °	Rotation around y-axis
Reject container position	$x = 3.32 \text{ cm}$ $z = -7.74 \text{ cm}$	x-axis

Accept container	$x = 13.27 \text{ cm}$	x-axis
position	$z = -7.74 \text{ cm}$	

465 Tab. 2: Adjustable components of the sorter with their initial positions and alignments. Positions  
466 are given from the point of origin at the edge of the conveyor belt, denoted in green in Fig. 9  
467 (a). Alignments are measured as depicted in Fig. 10 (a).

468

469 While the positioning of the accept container is straightforward, the adjustment of the nozzle  
470 and reject container are governed by the particle-fluid interaction taking place during the flight  
471 through an activated nozzle. Due to the coupling of the equations and the diversity of the  
472 particles being ejected, a direct solution is not possible. Instead, problem reduction and  
473 optimization are applied to obtain optimal configurations of the sorting stage for arbitrary  
474 conveyor belt velocities.

475

476 **5 Adjustment of the sorting setup to arbitrary conveyor belt velocities**

477 **5.1 Adjustment procedure**

478 In this Section 5.1, we present a framework that allows us to find optimal sorter parameters for  
479 arbitrary particle velocities. It was implemented in MATLAB. The aim of the MATLAB  
480 framework was to reduce the computation time of particle fluid interactions – taking place at  
481 the separation stage – drastically compared to the 3D DEM-CFD, while retaining its accuracy.  
482 By doing so, several thousand computations were run within minutes using an optimization  
483 algorithm that searched the parameter space for the optimal setup. The results in terms of  
484 operational parameters were then used for DEM-CFD simulations, which will be presented in  
485 Section 6.

486 All assumptions that have been made to derive the simplified sorter model for optimization are  
487 explained in the next Section 5.1.1. Afterwards, the general optimization procedure and the  
488 construction of the objective function will be described. Section 5.2 presents the configuration  
489 parameters yielded by making use of the framework.

490

### 491 **5.1.1 Simplified sorter model used for optimization**

492 In order to make the best use of optimization methods, a fast computation of the objective  
493 function is needed. For our problem, the objective function is directly related to the particle  
494 flight through the fluid jet originating from the nozzle array. Since we want to rearrange and  
495 optimize the sorting setup depending on the belt velocity and the thereby resulting particle  
496 velocity, the trajectories must be computed readily.

497 A large reduction of computing time can be achieved by reducing our problem from three to  
498 two dimensions in physical space. In the following, we present the assumptions we made for  
499 that simplification.

500

501 1) The particles are calmed on the conveyor belt. They move with belt speed and do not move  
502 orthogonally to the transport direction.

503 2) At a certain distance from the nozzle array, the fluid field is nearly homogenous, meaning  
504 that the third dimension (here:  $y$ ) can be neglected. Obviously, this area must be downstream  
505 of the combined point (CP) of the twin jet field (see Fig. 3 (b)), where both jets unify. For our  
506 nozzle array configuration, this distance was chosen at 3 cm from the nozzle outlet see Fig. 3  
507 (c) and (d).

508 3) To calculate the drag onto the particles, we can use the drag formula for single particles as  
509 an approximation neglecting particle orientation. The drag force is given by  $F_D = c_D \cdot \frac{\rho}{2} \cdot A \cdot$   
510  $v_{rel}^2$ , with  $c_D$  being the drag coefficient,  $A$  the particles projection area perpendicular to the  
511 fluid velocity,  $\rho$  the fluid density and  $v_{rel}$  being the relative velocity between particle and fluid.

512 4) The bulk material can be represented by a few representative particle shapes and sizes.

513

514 The projection areas and masses of each material were analyzed, and the six most significant  
515 masses with maximum and minimum projection area for each were chosen. Hence, all  
516 combinations of mass and projection area were covered. The use of extreme combinations of

517 mass and projection area assures that all particles hit the containers. The value ranges are  
 518 given in Tab. 3.

519

Quantity	Sand-lime brick	Brick
Projection areas	$1.7 \cdot 10^{-5} - 5 \cdot 10^{-5} \text{ m}^2$	$1.45 \cdot 10^{-5} - 8.6 \cdot 10^{-5} \text{ m}^2$
Masses	$1.45 \cdot 10^{-5} - 5.4 \cdot 10^{-4} \text{ kg}$	$1.2 \cdot 10^{-5} - 8 \cdot 10^{-4} \text{ kg}$

520 Tab. 3: Value range of projection areas and masses for both materials.

521

522 The projection areas and masses vary in the order of five, while the drag coefficient following  
 523 eq. (12) varies at most by 20% for all particles around a value of 1. Thus, it is held constant at  
 524  $c_D = 1$  for optimization calculation. Force equilibrium and Newton's law of motion yield

$$\dot{v}_x \cdot m = A \cdot \rho \cdot \frac{1}{2} c_D \cdot \bar{v}_{rel} \cdot v_{x,rel}, \quad (13)$$

$$\dot{v}_z \cdot m = A \cdot \rho \cdot \frac{1}{2} c_D \cdot \bar{v}_{rel} \cdot v_{z,rel} - mg \quad (14)$$

525 for both coordinate directions denoted by the subscripts. The particle acceleration is referred  
 526 to as  $\dot{v}$ , with the dot indicating the temporal derivative of  $v$ , the particle mass is  $m$ , and the  
 527 gravitational acceleration is  $g$ . The equations are coupled by the absolute value of the relative  
 528 velocity  $\bar{v}_{rel}$ .

529 A Forward-Euler scheme with a step of  $1 \cdot 10^{-4} \text{ s}$  was used for time integration. For each  
 530 conveyor belt velocity and corresponding particle velocity and material, 12 particle trajectories  
 531 were calculated.

532

### 533 5.1.2 Optimization strategy

534 In addition to the already presented geometrical parameters of nozzle bar and reject container,  
 535 two temporal parameters were introduced, which were subject to the optimization: The first  
 536 parameter is the duration of the nozzle activation  $\Delta t$ . The second parameter  $\tau$  denotes the  
 537 activation time offset between a particle reaching the area of the fluid field and the point in time  
 538 at which the nozzle is activated. This variable is crucial because it allows the algorithm to



539 activate the nozzle when the particle is near the core of the jet. Here, the fluid jet transfers the  
540 highest momentum to the particle and deflects it in the shortest time. In other words, through  
541  $\tau$ , we obtained the optimal position  $x_A$ , at which the nozzle had to be activated, by  $x_A = v_p \cdot$   
542  $\tau + x_E$ , with  $v_p$  being the particle velocity and  $x_E$  being the edge of the fluid field. The position  
543 of nozzle activation  $x_A$  was then used in the specific sorting model to predict the time at which  
544 a particle reached the nozzle at  $x_A$  and thus the nozzle had to be activated. The geometric  
545 parameters were optimized as the deviation  $\Delta$  from the initial values as given in Tab. 2.  
546 Consequently, absolute positions and orientations are given by the initial value altered by the  
547 optimized  $\Delta$  for the respective variable. All parameters are summarized in Tab. 4. Note that a  
548 rotation ( $\Delta\alpha$ ,  $\Delta\beta$ ) is measured counterclockwise.

549

Parameter	Variable name in optimization
Nozzle rotation	$\Delta\alpha$
Nozzle displacement	$\Delta x_n$
Reject container rotation	$\Delta\beta$
Reject container displacement	$\Delta x_c$
Activation duration	$\Delta t$
Activation offset	$\tau$

550 Tab. 4: Optimized parameters with the symbol used in the optimization.

551

552 Fig. 10 (a) shows the input parameters, also referred to as optimization variables, in the  
553 simplified 2D simulation. Nozzle angle and container angle are shown in their initial position.  
554 Note that the particle trajectory is undisturbed during the time offset  $\tau$ . At its end, the nozzle  
555 activation starts for  $\Delta t$ . From there, a split up of the trajectories due to different masses and  
556 projection areas can be noticed.

557 The conditions and the respective optimal value for the construction of the objective function  
558 were defined as follows:

559

560 I. Optimal distance between particle and nozzle outlet is 3 cm, when the nozzle is  
561 activated

562 II. Intersection of deflected particle trajectory and reject container is at the container  
563 opening center at  $l_c/2 = 2.93 \text{ cm}$

564 III. The angle between the deflected trajectory and the reject container opening is  $90^\circ$

565 IV. The activation duration of the nozzle is minimal

566

567 Condition I was chosen so that particles pass through the jet region where high velocities and  
568 small gradients are prominent, as stated in Section 5.1.1 before. Conditions II and III assured  
569 that particles with non-optimal entry angles or positions still end up in the reject container.  
570 Condition IV minimizes undesired deflection of accept particles and furthermore saves  
571 compressed air, if applied to a real sorting system. Additionally, it was assured that the reject  
572 container did not intersect with the undeflected trajectories and the accept container.  
573 Otherwise, the algorithm would move the reject container back as far as possible to the trivial  
574 solution of an undeflected trajectory. Expressed in a function, the conditions yield

$$f(\Delta\alpha, \Delta\beta, \Delta x_c, \Delta x_n, \Delta t, \tau) = \sum_{i=1}^n (w_1(|l_i - 3 \text{ cm}|) + w_2(|l_i - \frac{l_c}{2}|) + w_3(|\theta_i - 90^\circ|) + w_4 IV_i). \quad (15)$$

575 The conditions were summed over  $n = 12$  trajectories per material so that they were evaluated  
576 for each particle. Weights  $w_1 - w_4$  scaled and weighted the conditions equally. The activation

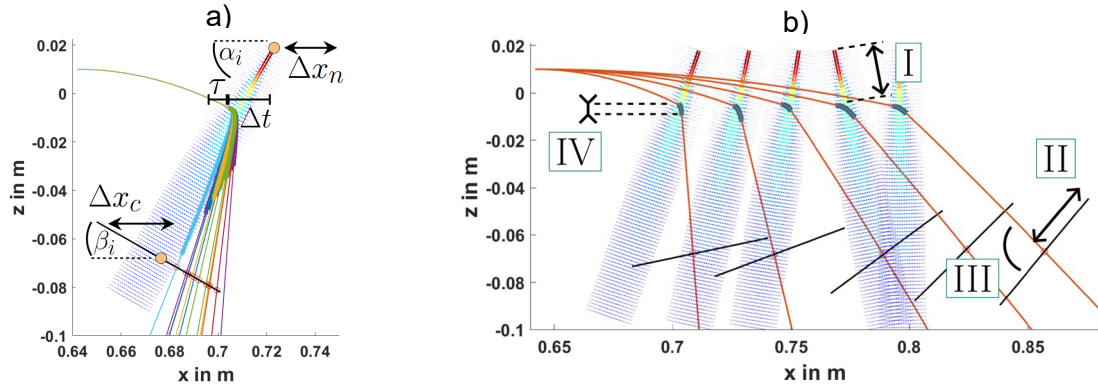
577 duration  $\Delta t$  and ejection delay  $\tau$  were optional input variables of the objective function, which  
578 could also be minimized on fixed positions and orientations of eject container and nozzle:

579 Either  $w_1 - w_3$  or  $w_4$  could be set to zero.

580 The genetic algorithm from MATLAB was used to find the optima [49]. At least 30 generations  
581 with populations of 300 individuals were used. The stopping criterion was set at a function  
582 tolerance of  $1 \cdot 10^{-6}$ . Sorting setups were optimized for conveyor belt velocities of 0.9 m/s to  
583 2.9 m/s in 0.2 m/s steps. Geometric parameter variation was simplified by using results of

584 preceding velocity optimization as an initial population for the next optimization. An exemplary  
 585 result is shown in Fig. 10 (b), plotted for a single trajectory of brick for 1.1 – 2.7 m/s in  
 586 0.4 m/s steps. Also, conditions I-IV are depicted in the graphic.

587



588 Fig. 10 (a): 12 trajectories of sand-lime brick through the activated nozzle with geometric and  
 589 temporal input variables. The shown alignments are the initial ones of the container and nozzle  
 590 ( $\alpha_i = 60.4^\circ$  and  $\beta_i = 29.6^\circ$ ). Orange circles locate the origin of the reject container and the  
 591 nozzle at the initial position. (b): Exemplary results of optimizations, shown for particle  
 592 trajectories of brick for conveyor belt velocities 1.1 – 2.7 m/s in 0.4 m/s steps. Also, optimization  
 593 conditions (I-IV) are shown. Thick blue regions of the trajectories indicate the positions at which  
 594 the nozzle jet was acting.

595

596 The optimization procedure was as follows:

597 O.1) Optimization of 4 geometric parameters ( $\Delta\alpha, \Delta\beta, \Delta x_c, \Delta x_n$ ) for a setup with a continuously  
 598 operating nozzle (setting  $\Delta t = \infty$  s,  $\tau = 0$  s,  $w_4 = 0$ ).

599 O.2) Optimization of 2 temporal parameters ( $\Delta t, \tau$ ) on fixed positions and orientations  
 600 ( $\Delta\alpha, \Delta\beta, \Delta x_c, \Delta x_n$ ) obtained as part of O.1 (setting  $w_1, w_2, w_3 = 0$ ).

601

602 The results of the optimizations are discussed in the next Section 5.2.

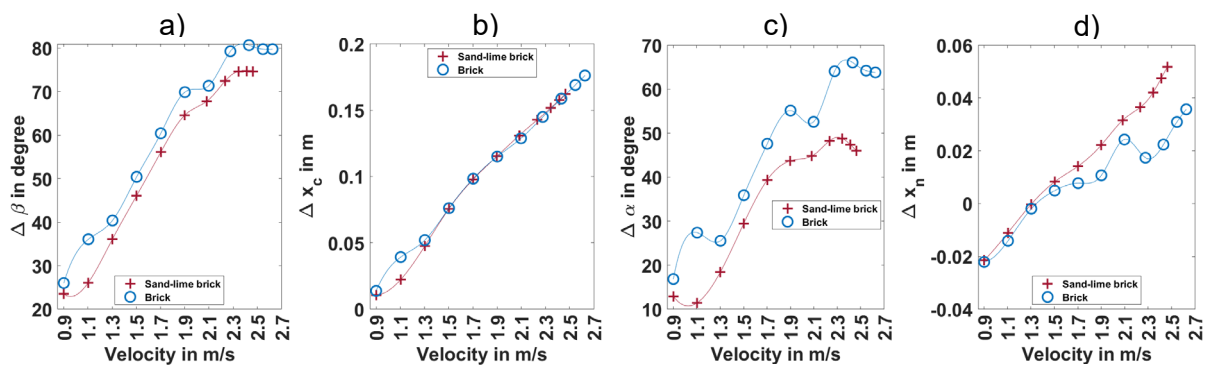
603

604 **5.2 Adjustment results**

605 The results of O.1 are shown in Fig. 11. From left to right, the plots show displacements and  
606 rotations from the initial positions and alignments of both reject container and nozzle,  
607 respectively. On the x-axis, the average particle velocity at the conveyor belt end that was used  
608 for optimization is shown. The respective optimization variable is plotted on the y-axis. The  
609 parameters for brick are plotted in blue, and parameters for sand-lime brick are plotted in red.  
610 Obviously, the range of particle velocities is narrower ( $0.9\text{ m/s} - 2.7\text{ m/s}$ ) than the actually  
611 used conveyor belt velocities of  $0.9 - 2.9\text{ m/s}$  due to slip of the particles on the belt. This  
612 behavior was discussed in Section 4.1. The curves were interpolated piecewise between  
613 optimized parameters.

614 The optimized reject container rotation, see Fig. 11 (a), and displacement, see Fig. 11 (b),  
615 show a nearly linear progression with increasing particle velocity. This can be explained by  
616 increasing particle inertia: the particle trajectories are prolonged in the x-direction, so the  
617 container must adapt accordingly. Nozzle rotation, see Fig. 11 (c), and displacement, see Fig.  
618 11 (d), also show a nearly constant ascent, while the curves are slightly offset for both  
619 materials. Several optimization runs showed that such changes in displacement directions, as  
620 well as oscillations in parameter curves, stem from the stochastic nature of the genetic  
621 algorithm. Since initial populations and following generations are chosen partly randomly,  
622 optimal solutions can vary between optimization runs with identical preferences [49]. In any  
623 case, the solutions fulfill our conditions in terms of optimality.

624



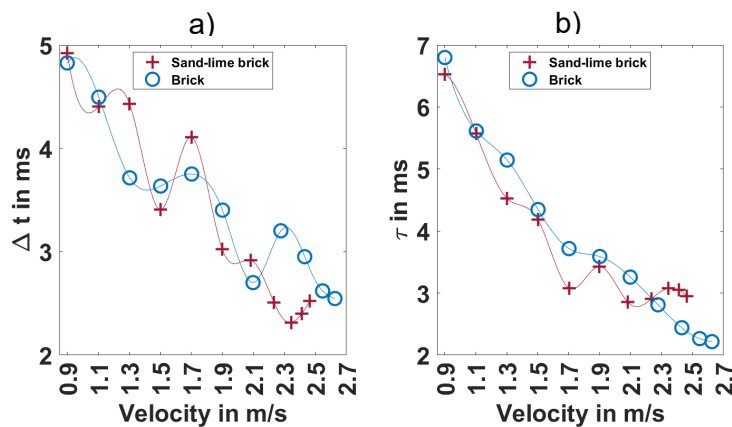
625 Fig. 11: (a) – (d) Displacements and rotations of reject container and nozzle array optimized  
 626 for brick and sand-lime brick for a permanently operating nozzle ( $\Delta t = \infty$  s,  $\tau = 0$  s) in  
 627 dependence on average particle velocity.

628

629 In Fig. 12, the optimization results of O.2 are presented. As already introduced, the temporal  
 630 parameters were computed for an already fixed geometric arrangement of the nozzle and  
 631 reject container at increasing conveyor belt velocities. Since the particle velocities were not  
 632 equal for both materials, as can be seen in Fig. 8 (a), the velocities diverge towards higher  
 633 values. In Fig. 12 (a), the activation duration  $\Delta t$  is shown. Both curves show oscillations, but  
 634 obtained values also decline with increasing particle velocity. The decline is caused by a  
 635 shrinking angle of deflection (angle between particle trajectory before and after nozzle  
 636 interaction) at higher particle velocities, as obtained by O.1 (see also Fig. 10 (b)).

637 The activation offset (Fig. 12 (b)) decreases linearly with particle velocity for both materials.  
 638 This happens as, due to higher velocity, the particles need less time to cover the distance  
 639 between the fluid field edge and core.

640



641 Fig. 12: (a) Optimized activation duration  $\Delta t$  of nozzles. (b) Optimized activation offset  $\tau$  in  
 642 dependence on average particle velocity.

643

644 To sum up, the results show a clear tendency of moving the nozzle and containers further in  
645 the x-direction while increasing the nozzle and reject container rotation with increasing belt  
646 velocity. Due to this rearrangement, nozzle activation duration can be further reduced for faster  
647 particles.

648

## 649 **6 DEM-CFD simulation results of the optimized sorter setups**

650 The adjustment results corresponding to an average particle velocity aligned to that from  
651 Section 4.1 were applied to our DEM-CFD model of an optical belt sorter utilizing unresolved  
652 one-way coupling. First, it was verified that the obtained parameters were valid for a single  
653 component material stream. This was proven for both geometrical (O.1) as well as temporal  
654 parameter sets (O.2), outlined in Section 6.1. After evaluating the optimal sorting parameters,  
655 we moved to a sorting scenario with mixed material composition (Section 6.2). Here, sorting  
656 was simulated with both instantaneous and lagged nozzle activation modes, as described in  
657 Section 3.1.3. In doing so, the characteristics of the sorting system were assessed at various  
658 belt velocities. Concerning the detection stage, we inferred that no detection errors occur  
659 independently of the occupancy density on the belt, which is a valid assumption as  
660 experimental investigations with the setup in [37] have shown.

661 The simulations were run for 5 s physical times, because it was observed that the sorting  
662 results did not change more than 1 % for the last second. Thus, the sorter reached a stationary  
663 operational mode. A mass flow inlet was used to provide the feed material in a quadratic  
664 domain of [0.1 , 0.06 , 0.23] m. The results of the simulations are plotted separately for the reject  
665 (negative, to sort out) and the accept fraction (positive, not to sort out). Bar charts are used to  
666 visualize the simulation results, where the blue bars of the reject fraction show the true negative  
667 rate (TNR) and the green bars of the accept fraction show the true positive rate (TPR). Both

668 rates are defined as  $TNR = \frac{True\ negatives}{True\ negatives+False\ positives}$  and  $TPR =$

669  $\frac{True\ positives}{True\ positives+False\ negatives}$  .

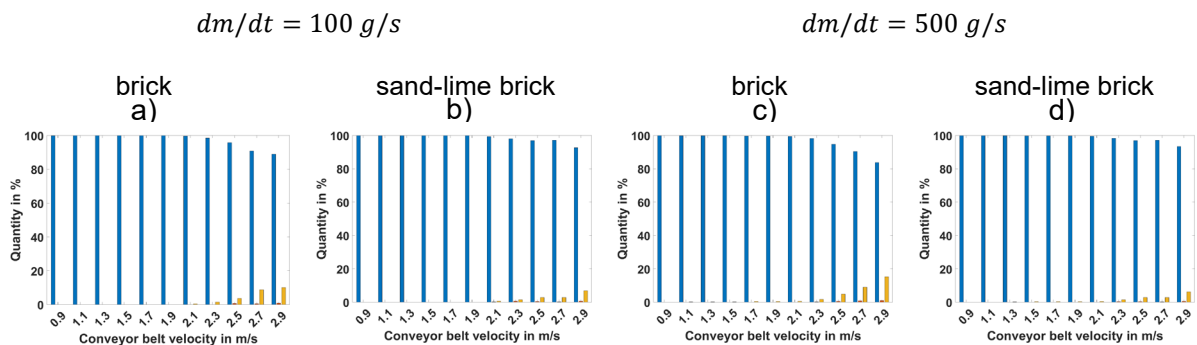
670

671 **6.1 Validation of derived optimal sorting parameters**

672 **6.1.1 Geometrical parameters**

673 The first simulations were performed with parameters obtained in optimization runs with  
674 geometric variables only (optimization O.1). Nozzles were activated permanently to assess if  
675 all particles were ejected correctly with the optimized positions and alignments of the sorter  
676 components. Consequently, only reject material was considered. Fig. 13 presents the sorting  
677 results in bar diagrams. Blue bars indicate correctly separated particles, while yellow bars  
678 indicate particles that fell beside the containers. On the left side, we see brick and sand-lime  
679 brick sorted at 100 g/s. On the right side, results of sorting at 500 g/s mass flow are shown.  
680 From all plots, a high ejection accuracy can be seen for conveyor belt velocities to 2.1 m/s. It  
681 decreases slightly for higher belt velocities when particle slip occurs. The largest single decay  
682 can be seen in Fig. 13 (c) at 2.9 m/s, where the accuracy is 84 %.

683



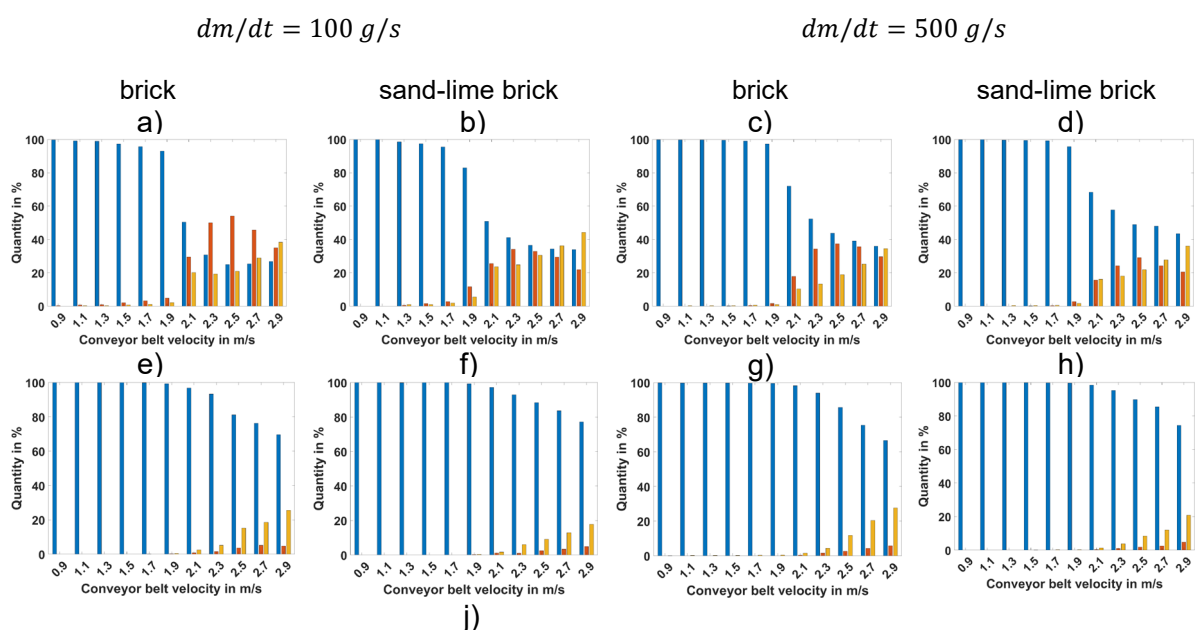
684 Fig. 13: Correctly separated particles (TNR, blue bars) for a continuously operating nozzle.  
685 The yellow bars indicate particles that missed the containers.

686

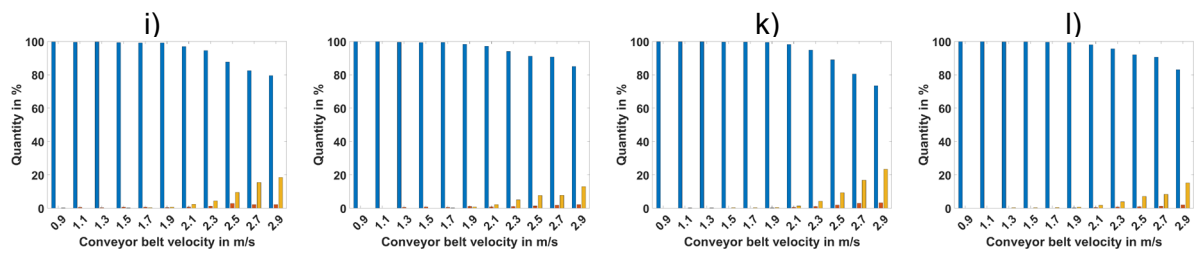
687 **6.1.2 Temporal parameters**

688 As a next evaluation step, the single component materials were sorted under activation and  
689 deactivation of nozzles. For that, the two optimized temporal variables from O.2 were used in  
690 this setup. It was tested if the activation duration and activation offset yielded good sorting  
691 results for a pure material stream. The three sorting models – line-scan, tracking and ICV –  
692 were compared. Again, brick and sand-lime brick were sorted at 100 g/s and 500 g/s each.

693 Fig. 14 shows all results obtained. The rows show different scenarios sorted with a fixed sorting  
694 algorithm; columns compare different sorting algorithms for a fixed scenario (material and  
695 mass flow rate). Results of line-scan sorted material are shown in the first row, tracking  
696 scenarios are shown in the second row, and ideal sorted scenarios in the last row. Line-scan  
697 sorted runs (a-d) show good sorting results for conveyor belt velocities to 1.7 m/s. Here, the  
698 TNR decays heavily from around 90 % at 1.9 m/s down to around 30 % at 2.9 m/s. The results  
699 show a direct dependence on the particle velocity distribution. Since the line-scan algorithm  
700 must assume a fixed particle velocity, which was chosen as the average velocity obtained in  
701 Section 4.1, the assumption error grows for a faster belt velocity where slip occurs, and the  
702 velocity distribution along the belt broadens. The tracking (e-h) performed significantly better.  
703 We can see TNR close to 100 % for conveyor belt velocities to 1.9 m/s at all sorting scenarios.  
704 The TNR decays to 70 % for brick and 75 % for sand-lime brick at 2.9 m/s. Lastly, we compare  
705 the results to the benchmark algorithm that uses the exact particle velocities to predict nozzle  
706 activation (i-l). The results are qualitatively analogous to the tracked sorting but decay slightly  
707 less at occurring particle slip on the belt starting at 2.1 m/s. As we would expect, the exact  
708 particle velocity differs slightly from the predictions made by the tracking algorithm, leading to  
709 7% – 10 % higher accuracies at 2.9 m/s.  
710







711 Fig. 14: Correctly separated particles (TNR, blue bars) for (a) – (d) line-scan, camera-based  
 712 sorting, (e) – (h) area-scan, camera-based sorting, and (i) – (l) ideal sorting for a pulsed  
 713 operated nozzle. Red bars denote falsely sorted particles (wrong container), and yellow bars  
 714 denote lost particles (missed both containers).

715

716 Obviously, the optimized geometric and temporal parameters lead to very robust sorting  
 717 setups. The sorting setups were successfully adapted to the increased conveyor belt velocities.

718

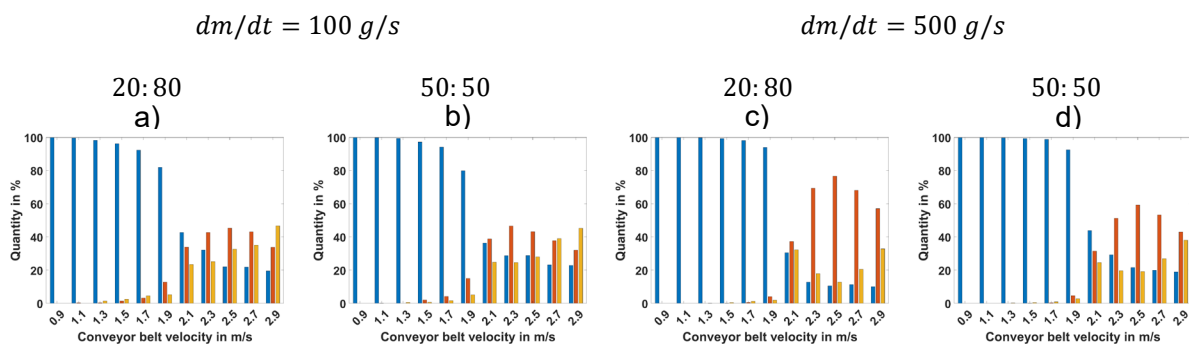
## 719 6.2 Investigation of sorting accuracy of a mixed material

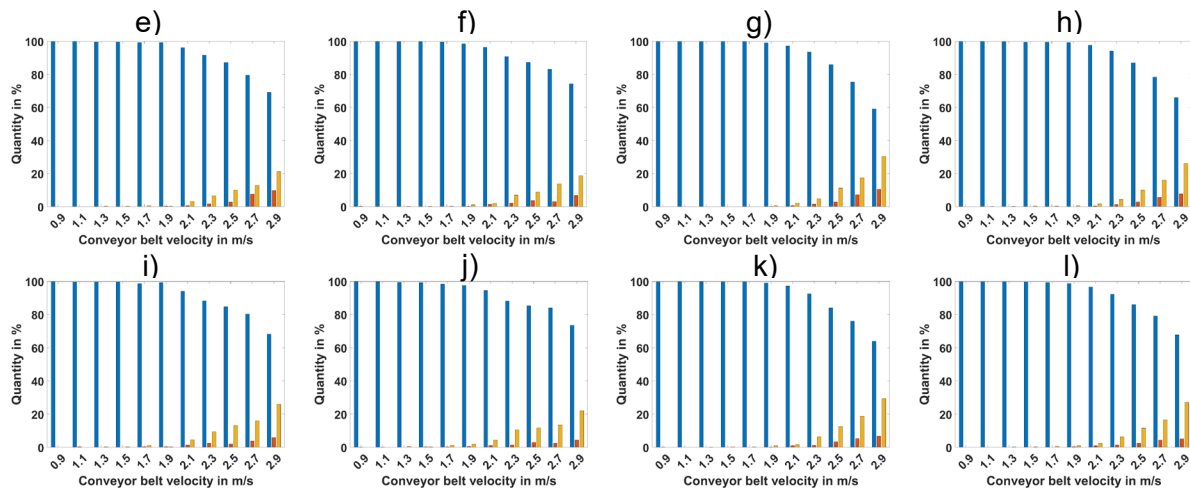
720 After evaluating the adaption of the sorting setups to changed conveyor belt velocities, the  
 721 sorting of mixed bulk material at those velocities was investigated. For that, the validated  
 722 parameters were applied to the model in realistic sorting scenarios in the following. All  
 723 scenarios were compared for the two nozzle operation modes. Because nozzles have to be  
 724 activated in short succession at high occupancy densities and fast particle velocities, the  
 725 reaction time to a triggered activation is crucial. We, therefore, simulated sorting at an  
 726 instantaneous mode, where the fluid field is present immediately after activation. This  
 727 corresponds to a fast-sorting system, which is not realizable in reality. It was compared to a  
 728 lagged operational mode, where nozzle activation and deactivation took an additional 5 ms.  
 729 That corresponds to a slow sorting system. In the system described in [37], similar lag times  
 730 were found. In all simulations, sand-lime brick with fractions of 20 % and 50 % was sorted out  
 731 at mass flows of 100 g/s and 500 g/s.

732

733 **6.2.1 Fast sorting system: Instantaneously activated nozzles**

734 The simulation results with instantaneous nozzle activation are presented in the following. In  
 735 Fig. 15, the sorting results of the reject fraction (sand-lime brick) are plotted. The percentage  
 736 of the correctly ejected particles (TNR) is represented by the blue bars. In general, the results  
 737 are very similar to those of pure material sorting. The TNR of the line-scan sorted scenarios  
 738 (a-d) deteriorates at 1.7 m/s at 100 g/s and at 1.9 m/s at 500 g/s. The lowest sorting accuracy  
 739 is achieved in the 20:80 scenario with 500 g/s mass flow at 2.9 m/s with about 10 %.  
 740 Analogous to the findings in Section 6.1, the line-scan algorithm performed worst as soon as  
 741 the particle velocity broadened widely due to the slip between the particles and belt. In contrast,  
 742 the tracking algorithm showed very good sorting precision. Nearly 100 % of reject material was  
 743 sorted out for velocities up to 1.9 m/s. From here, a slow decline is notable for increasing belt  
 744 velocities. Interestingly, the lowest TNR is found again at 20: 80 with 500 g/s mass flow. The  
 745 performance loss in this scenario may be linked to the sorter characteristics that depend on  
 746 the specific operational point at which the sorter is run. This includes mainly mass flow and  
 747 material proportions, as experimentally shown in [15]. Concerning the results of ideally sorted  
 748 scenarios (i-l), only slight differences compared to the tracking results can be detected. The  
 749 numerical benchmark performed 3 % – 5 % better than the tracking.  
 750





751 Fig. 15: Correctly separated particles (TNR, blue bars) for (a) – (d) line-scan, camera-based  
 752 sorting, (e) – (h) area-scan, camera-based sorting, and (i) – (l) ideal sorting for a pulsed  
 753 operated nozzle without activation or deactivation lag. Red bars denote falsely sorted particles  
 754 (wrong container), and yellow bars denote lost particles (missed both containers).

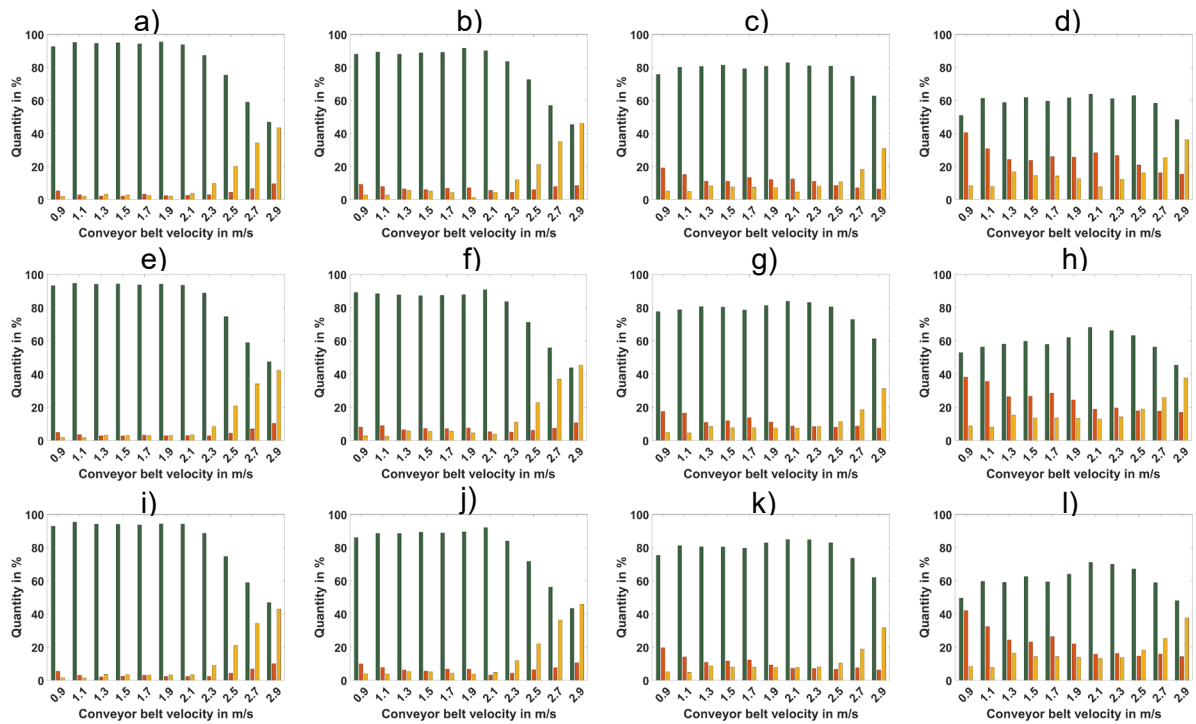
755

756 Since we considered a mixed material flow, the influence of the sorting stage on the accept  
 757 fraction should be minimal to yield a pure sorted fraction. An indirect measure for that purity is  
 758 the already introduced true positive rate (TPR), which is represented by the green bars in Fig.  
 759 16. It denotes the percentage of correctly accepted particles. Red bars denote the falsely  
 760 ejected particles (by-catch), and the yellow bars particles that did not hit any container. Due to  
 761 the significant similarity of all sorting models, we will focus on the results by tracking Fig. 16  
 762 (e) – (h). At a 20:80 mixture with 100 g/s mass flow, there is no notable change in TPR for  
 763 belt velocities up to 2.1 m/s. For faster velocities, the TPR declines sharply. This is due to the  
 764 particles that did not hit the container. Their number increases proportionally, as the yellow  
 765 bars indicate. The cause of this increase is the spreading particle velocity at faster belt  
 766 velocities. The accept container opening could only collect particles whose trajectories  
 767 deviated less than 3 cm. As we increased the reject mass flow to 100 g/s, which is the case at  
 768 20:80 for 500 g/s (comp. Fig. 16 (g)), the TPR increases slightly from 78 % to 84 % from  
 769 0.9 m/s to 2.1 m/s. The TPR is increased even more in the last sorting scenario (Fig. 16 (h)),  
 770 where TPR increases from 53 % to 68 % in the same velocity range. However, the gain is

771 based on a lower TPR level, meaning that the overall purity in this scenario is the lowest. The  
772 decreasing rate of falsely ejected particles, indicated by the red bars, implies that these findings  
773 are a result of the enlarged purity of the sorting process and cannot be explained by the accept  
774 particles that jump beside, since their proportion is nearly constant. Growing sorting purity,  
775 expressed as less by-catch, must be caused by enhanced particle singulation on the conveyor  
776 belt due to a faster belt velocity. The likely explanation is the decreased occupancy density  
777 (comp. Fig. 8 (b)). Since the occupancy density is an indirect measure of particle proximity on  
778 the conveyor belt, particle distances in both x- and y-direction increase, and clusters of mixed  
779 material are presumably less likely to form. As a result, accept material is falsely ejected less  
780 often. Consequently, this effect grows with the count of nozzle activations, which is directly  
781 proportional to the reject material mass flow. It is important to state that the increasing TPR  
782 comes with no loss in TNR up to 1.9 *m/s* belt velocity (comp. Fig. 15) and only slight reduce of  
783 around 3 % at 2.1 *m/s*.  
784 These results suggest that increasing the conveyor belt velocity is beneficial until 1.9 *m/s*.  
785 However, instantaneous nozzle activations cannot be realized in real-world sorter applications  
786 yet, but increasing valve opening speed is a current field of research [44].

787

$dm/dt = 100 \text{ g/s}$		$dm/dt = 500 \text{ g/s}$	
20:80	50:50	20:80	50:50



788 Fig. 16: Correctly not separated particles (TPR, green bars) for (a) – (d) line-scan, camera-  
 789 based sorting, (e) – (h) area-scan, camera-based sorting, and (i) – (l) ideal sorting for a pulsed  
 790 operated nozzle without activation or deactivation lag. Red bars denote falsely sorted particles  
 791 (wrong container), and yellow bars denote lost particles (missed both containers).

792

### 793 6.2.2 Realistic sorting system: Lagged activated nozzles

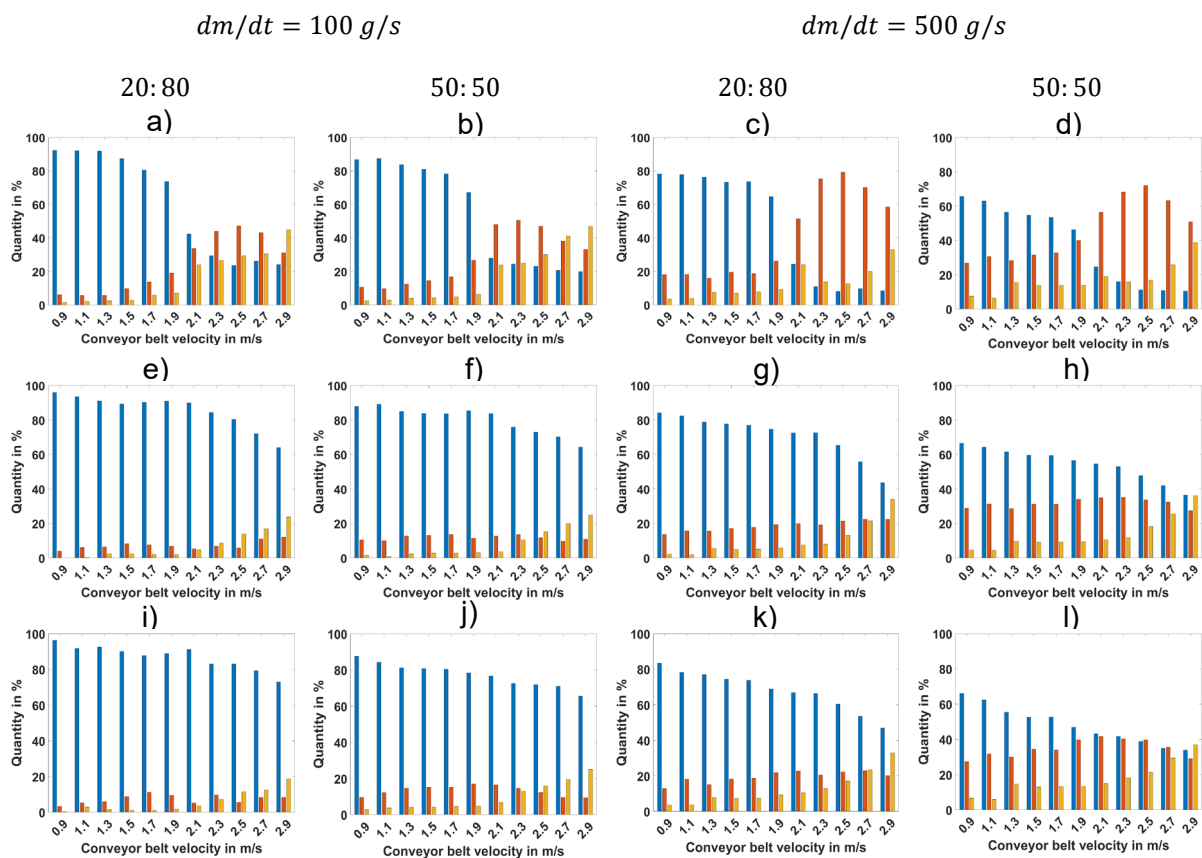
794 In this simulation series, previous simulations were repeated with a nozzle lag of 5 ms. In real  
 795 sorting systems, a lag is always present due to signal processing and mechanical valve  
 796 opening. The lag becomes important if a nozzle needs to be activated in short succession. If  
 797 such a situation occurs, a time period of 10 ms exists, where a reject particle can pass the  
 798 sorting stage without being deflected, although it was detected (see Fig. 5 and Section 3.1.3  
 799 for details).

800 The results of sorted reject material are presented in Fig. 17. Compared to Fig. 15, the line-  
 801 scan sorted scenarios (a-d) do not reach 100 % TNR at belt velocities 0.9 – 1.3 m/s. TNR is  
 802 around 90 % at 100 g/s for a 20:80 composition at those velocities. Furthermore, the TNR  
 803 declines as the reject mass flow increases. At 0.9 m/s of a 50:50 composition with 500 g/s (d),

804 the TNR is 66 %. The decline stems from the aforementioned effect of nozzle blocking, which  
 805 appeared when two particles followed shortly at the same y-coordinate. This effect is more  
 806 pronounced at higher mass flows since the possibility of two following particles increases. The  
 807 exact probability depends on the lateral distribution of reject material on the belt and the reject  
 808 material mass flow.

809 The same trend was observed for results sorted by tracking (e-h). Here, the decline of TNR  
 810 lies around 30 % when increasing the proportions of the reject material (sand-lime brick) at belt  
 811 velocities up to 2.1 m/s. In contrast to the simulations without delayed nozzles, an increase in  
 812 belt velocity is followed by a decrease in TNR at nearly all velocities. The performance of the  
 813 ideal sorting model (i-l) was similar to the tracking sorting model. Sorting by tracking showed  
 814 to be very robust even at high occupancy densities (~50 %) and fast particle movement, as  
 815 the comparison between Figs. 17 (h) and (l) shows.

816



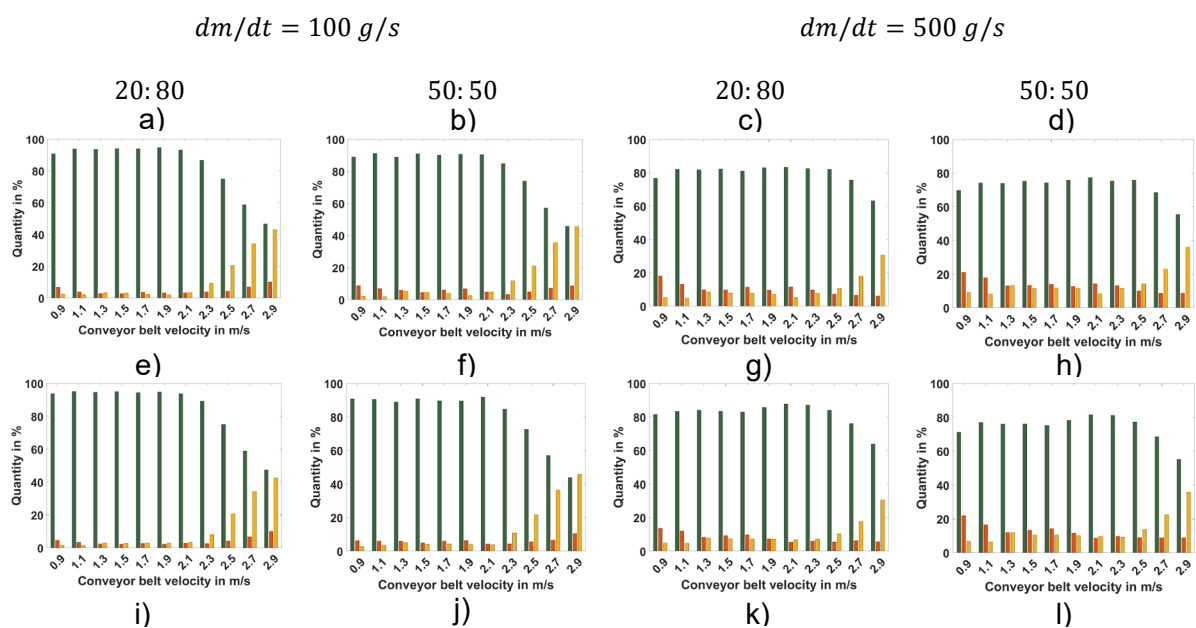
817 Fig. 17: Correctly separated particles (TNR, blue bars) for (a) – (d) line-scan, camera-based  
 818 sorting, (e) – (h) area-scan, camera-based sorting, and (i) – (l) ideal sorting for a pulsed  
 819 operated nozzle with activation and deactivation lag. Red bars denote falsely sorted particles  
 820 (wrong container), and yellow bars denote lost particles (missed both containers).

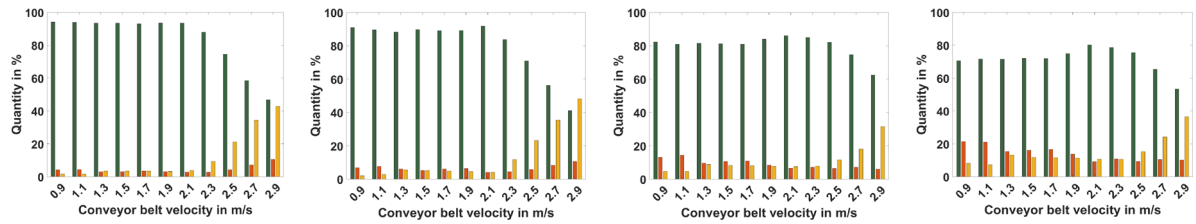
821

822 Analogous to the findings in the previous Section 6.2.1, the TPRs differ only slightly if different  
 823 sorting models are used (see Fig. 18). The decay of TPR with an increasing reject fraction  
 824 mass flow, as it is found in Fig. 16, was gradually less pronounced if nozzles are operated in  
 825 lagged mode. Additionally, the gain of TPR at increased belt velocities was minor: it is at most  
 826 10 % from 0.9 m/s to 2.1 m/s, see Fig. 18 (h).

827 The increased TPR, if compared to Section 6.2.1, is a result of the delayed nozzle activation,  
 828 which led to the nozzles being activated less frequently. During the blocked period of 10 ms,  
 829 no particles were hit. Hence, the by-catch was reduced. This effect became more prominent  
 830 as the reject mass flow, i.e., the count of activated nozzles, increased. However, there was a  
 831 trade-off between TNR and TPR if nozzle activation was delayed by 5 ms. An increase in one  
 832 quantity was connected with a decrease in the other, and vice versa.

833





834 Fig. 18: Correctly not separated particles (TPR, green bars) for (a) – (d) line-scan, camera-  
 835 based sorting, (e) – (h) area-scan, camera-based sorting, and (i) – (l) ideal sorting for a pulsed  
 836 operated nozzle with activation and deactivation lag. Red bars denote falsely sorted particles  
 837 (wrong container), and yellow bars denote lost particles (missed both containers).

838

839 To sum up, the results of Section 6.2 show that optimized sorting stages yielded very good  
 840 sorting outcomes in 3D DEM-CFD simulations. If applied to real sorting systems, the  
 841 optimization is able to drastically cut the setup time for a sorting plant, as experimental  
 842 calibration becomes nearly obsolete in terms of sorting stage arrangement. Certain bulk  
 843 properties, such as particle mass, particle protection area or drag coefficient and details on the  
 844 nozzle velocity field must be known in advance to apply the approach. Furthermore, it must be  
 845 known if particles move with belt velocity or a velocity is differing from it. The configuration of  
 846 the sorting setup is based on the particle velocity. Having discussed the application of  
 847 optimized sorting parameters to the DEM-CFD and the simulation results, the final Section  
 848 6.2.3 of this paper addresses the nozzle activation in further detail.

849

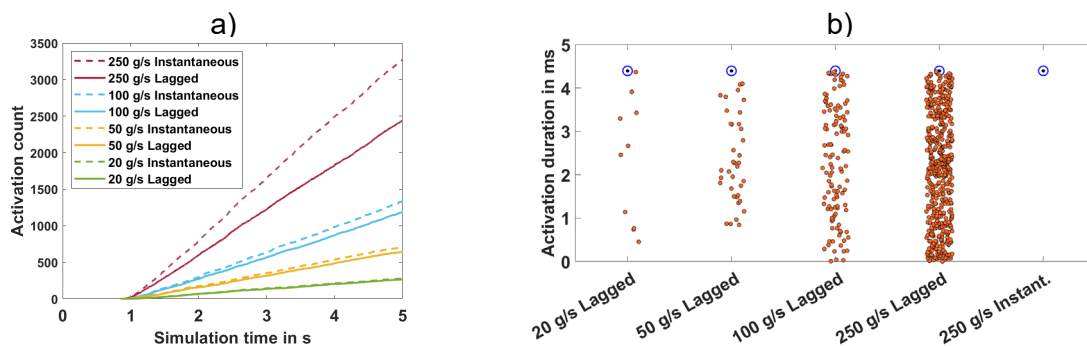
### 850 6.2.3 Analysis of nozzle activation

851 To gain further insight into the reasons for deviating sorting results between instantaneous and  
 852 lagged operational mode, some analysis of nozzle data was conducted. In particular, the  
 853 occurrence of the scenarios depicted in Fig. 5 was analyzed. The data was taken from  
 854 simulations that were performed with the tracking algorithm at 1.1 *m/s* belt velocity. For  
 855 simplification, the four simulated scenarios are expressed in terms of the mass flow of reject  
 856 material. The four mass flows correspond to the four scenarios shown in the columns of Fig.



857 15 - Fig. 18, respectively. A mixture of 20:80 at 100  $g/s$  mass flow corresponds to a reject  
 858 material mass flow of 20  $g/s$ , a mixture of 50:50 at 100  $g/s$  to 50  $g/s$  and so on. Fig. 19 (a)  
 859 shows the count of nozzle activations for all reject material mass flows and both operational  
 860 modes plotted against the simulation time. This corresponds to the scenarios (a) and (d) in Fig.  
 861 5. As the mass flow is increased, the deviation between both modes grows: While there is no  
 862 significant difference at 20  $g/s$ , nozzles are activated 25 % more often in instantaneous mode  
 863 at 250  $g/s$  after 5 s. The frequency of a nozzle being fully blocked clearly depends on the mass  
 864 flow of material to be sorted out.

865



866 Fig. 19: Nozzle activation count of instantaneous and lagged operated sorting (a) and nozzle  
 867 activation durations  $\Delta t$  in lagged operated simulations compared with a simulation in  
 868 instantaneous activation mode (b). Blue dots represent the set activation duration, and orange  
 869 dots denote reduced activation durations. Nozzle data is evaluated for simulations sorted by  
 870 tracking at 1.1  $m/s$  belt velocity and plotted for all reject fraction mass flows.

871

872 In Fig. 19 (b), the distribution of nozzle activation duration  $\Delta t$  is presented for all mass flows in  
 873 lagged mode. For comparison with instantaneous nozzle activation, it is also shown at 250  $g/s$ .  
 874 The set activation duration of 4.4  $ms$ , which was obtained by optimization as shown in Fig. 12  
 875 (a), is denoted by the blue dots. Deviating values, i.e., reductions of the ejection window, are  
 876 presented as orange dots. In other words, each orange dot stands for a narrowed activation  
 877 window, as illustrated in scenario (c) in Fig. 5. As expected, the number of reduced activation  
 878 windows increased at higher reject fraction mass flows. Activation windows were narrowed 10

879 times at 20 *g/s* and 441 times at 250 *g/s*, which correspond to 4 % and 18 % of the total  
880 activations, respectively. The narrowing was distributed equally between 0 and the set  
881 activation duration of 4.4 *ms*. In the case of instantaneously activated nozzles, no narrowing  
882 occurred.

883 In summary, the findings showed that nozzle characteristics influenced the overall sorting  
884 performance drastically. Due to the time lag between signal processing and the actual  
885 formation of the fluid jet, two negative effects followed: Either the time window of activation  
886 was either narrowed, or a controlled nozzle was not activated at all. Both phenomena  
887 contributed to a lowered sorting accuracy, while decreasing the by-catch, as observed in  
888 Section 6.2.2. However, not all narrowed activation windows resulted in a reject particle being  
889 falsely sorted. This depended on several factors of the complex particle-fluid interaction and  
890 was studied thoroughly in [44]. Those factors are, for example, the particle shape, the particle  
891 orientation and the exact location of fluid drag on the particle surface. In any case, the speed  
892 of nozzle activation was the crucial limitation of the analyzed sorting system. Faster nozzles  
893 were able to handle higher mass flows of material to be sorted out at higher conveyor belt  
894 velocities.

895

## 896 **7 Conclusions**

897 We proposed a method to compute optimal sorting setups in terms of geometrical and temporal  
898 parameters for optical belt sorters operated at arbitrary conveyor belt velocities. As a result,  
899 fast computation of sorting stage arrangement for an adaption to changing conveyor belt  
900 velocities was feasible. The method takes advantage of calmed particles, which allows a  
901 reduction to a 2D problem, which then can be optimized. Optimized parameters, namely reject  
902 container and nozzle orientation and position as well as nozzle activation time and activation  
903 offset, were numerically validated in 3D DEM-CFD simulations with a non-spherical shaped  
904 bulk material consisting of brick and sand-lime brick. The main findings and possible next steps  
905 can be summarized as follows:

- 906       • As long as no major particle slip occurred, the optimized sorting parameters yielded  
907       excellent sorting results at increased conveyor belt velocities.
- 908       • A change in the conveyor belt velocity influenced the occupancy density on the  
909       conveyor belt, increasing the sorting accuracy through the reduction of by-catch.
- 910       • The increase in accuracy was more pronounced with instantaneously activated nozzles  
911       than with lagged activated nozzles. For lagged activation, this was dependent on the  
912       reject material mass flow, since nozzle valve opening and closing in short succession  
913       occurred more often.
- 914       • The proposed approach of adapting the sorting components is useful if the goal is to  
915       reduce conveyor belt occupancy or by-catch.
- 916       • To address slip and the broadening of particle velocity, a different conveyor belt  
917       material may be used to increase the friction at higher belt velocities.
- 918       • The next step to improve sorting performance and save costs for compressed air is to  
919       calculate individual activation durations for each particle. Such an approach can  
920       account for uncertainties in particle position measurements of real camera systems.

921

922

## 923 **Acknowledgements**

924 The IGF project 20354 N of the research association Forschungs-Gesellschaft  
925 Verfahrenstechnik e.V. (GVT) is supported by the AiF in a program to promote the Industrial  
926 Community research and Development (IGF) by the Federal Ministry of Economic Affairs and  
927 Climate Action on the basis of a decision of the German Bundestag. Computing resources  
928 were partially funded by the Deutsche Forschungsgemeinschaft (DFG, German Research  
929 Foundation) – Project-ID 463921749.

930

## 931 **List of Symbols**

### 932 **Latin letters**

933  $A$       $[m^2]$            projection area

934	$c$	[-]	coefficient
935	$d$	[m]	diameter
936	$\vec{F}$	[N]	force vector
937	$J$	[kg m <sup>2</sup> ]	mass inertia tensor
938	$k$	[N m <sup>-1</sup> ]	spring stiffness
939	$l$	[m]	length
940	$m$	[kg]	mass
941	$\vec{n}$	[-]	normal vector
942	$n$	[-]	particle number
943	$p$	[N m <sup>-2</sup> ]	pressure
944	$r$	[m]	radius
945	$R$	[m]	radius
946	$Re$	[-]	Reynolds number
947	$\vec{T}$	[N m]	torque vector
948	$t$	[s]	time
949	$\vec{t}$	[-]	tangential vector
950	$\vec{u}$	[m s <sup>-1</sup> ]	velocity vector
951	$\vec{v}$	[m s <sup>-1</sup> ]	velocity vector
952	$\vec{x}$	[m]	particle position vector
953	$w$	[-]	summand weight
954			
955	<b>Greek letters</b>		
956	$\gamma$	[kg s <sup>-1</sup> ]	damping coefficient
957	$\delta$	[m]	overlap
958	$\Delta\alpha$	[°]	nozzle rotation
959	$\Delta\beta$	[°]	reject container rotation
960	$\Delta t$	[s]	nozzle activation duration
961	$\Delta x$	[m]	displacement
962	$\varepsilon$	[-]	local voidage
963	$\eta$	[N s m <sup>-2</sup> ]	dynamic fluid viscosity
964	$\Lambda_i^{-1}$	[-]	rotation matrix
965	$\mu$	[-]	friction coefficient

966	$\vec{\xi}$	[m]	displacement vector
967	$\rho$	[kg m <sup>-3</sup> ]	density
968	$\tau$	[N m <sup>-2</sup> ]	stress tensor
969	$\tau$	[s]	nozzle activation offset
970	$\phi$	[-]	sphericity
971	$\chi$	[-]	correction factor
972	$\vec{\omega}$	[s <sup>-1</sup> ]	angular velocity vector
973			
974	<b>Sub- and Superscripts</b>		
975	$C$		Coulomb
976	$c$		contact
977	$c$		reject container
978	$D$		drag
979	$e$		effective
980	$E$		fluid field edge
981	$f$		fluid
982	$g$		gravitation
983	$i$		initial value
984	$i$		particle index
985	$n$		normal
986	$n$		nozzle
987	$p$		particle
988	$p$		pressure
989	$r$		rolling
990	$rel$		relative
991	$t$		tangential
992	$\cdot$		temporal derivation
993	$\perp$		perpendicular to flow direction
994			
995	<b>Abbreviations</b>		
996	B		brick
997	CB		conveyor belt material

998	CFD	computational fluid dynamics
999	COR	coefficient of restitution
1000	DEM	discrete element method
1001	SB	sand-lime brick
1002	SW	sorter wall material
1003	TNR	true negative rate
1004	TPR	true positive rate
1005		

## 1006 **References**

- 1007 [1] M. Ploschner, T. Čížmár, M. Mazilu, A. Di Falco, and K. Dholakia, “Bidirectional Optical Sorting of  
1008 Gold Nanoparticles,” *Nano Lett.*, vol. 12, no. 4, pp. 1923–1927, Apr. 2012, doi:  
1009 10.1021/nl204378r.
- 1010 [2] F. Brandt and R. Haus, “New concepts for lithium minerals processing,” *Minerals Engineering*,  
1011 vol. 23, no. 8, pp. 659–661, Jul. 2010, doi: 10.1016/j.mineng.2010.03.021.
- 1012 [3] M. Dehler, “Optical Sorting of Ceramic Raw Material,” *Tile & Brick Int.*, vol. 19, p. 4, 2003.
- 1013 [4] R. P. Haff, T. C. Pearson, and E. Maghirang, “A multispectral sorting device for isolating single  
1014 wheat kernels with high protein content,” *Food Measure*, vol. 7, no. 4, pp. 149–157, Dec. 2013,  
1015 doi: 10.1007/s11694-013-9150-7.
- 1016 [5] J. R. Mathiassen, E. Misimi, M. Bondø, E. Veliyulin, and S. O. Østvik, “Trends in application of  
1017 imaging technologies to inspection of fish and fish products,” *Trends in Food Science &  
1018 Technology*, vol. 22, no. 6, pp. 257–275, Jun. 2011, doi: 10.1016/j.tifs.2011.03.006.
- 1019 [6] L. A. Paluchowski, E. Misimi, L. Grimsmo, and L. L. Randeberg, “Towards automated sorting of  
1020 Atlantic cod (*Gadus morhua*) roe, milt, and liver – Spectral characterization and classification  
1021 using visible and near-infrared hyperspectral imaging,” *Food Control*, vol. 62, pp. 337–345, Apr.  
1022 2016, doi: 10.1016/j.foodcont.2015.11.004.

- 1023 [7] Y. J. Heo, S. J. Kim, D. Kim, K. Lee, and W. K. Chung, "Super-High-Purity Seed Sorter Using Low-  
1024 Latency Image-Recognition Based on Deep Learning," *IEEE Robot. Autom. Lett.*, vol. 3, no. 4, pp.  
1025 3035–3042, Oct. 2018, doi: 10.1109/LRA.2018.2849513.
- 1026 [8] Bruce, P. Lestringant, Brenneman, Heymann, and A. Oberholster, "The Impact of Optical Berry  
1027 Sorting on Red Wine Composition and Sensory Properties," *Foods*, vol. 10, no. 2, p. 402, 2021,  
1028 doi: 10.3390/foods10020402.
- 1029 [9] S. P. Gundupalli, S. Hait, and A. Thakur, "A review on automated sorting of source-separated  
1030 municipal solid waste for recycling," *Waste Manage.*, vol. 60, pp. 56–74, Feb. 2017, doi:  
1031 10.1016/j.wasman.2016.09.015.
- 1032 [10] N. Dias, N. Belo, A. Máximo, and M. T. Carvalho, "Recovery of glass contained in the heavy  
1033 residual fraction of Portuguese mechanical Biological Treatment Plants," *Journal of Cleaner  
1034 Production*, vol. 79, pp. 271–275, Sep. 2014, doi: 10.1016/j.jclepro.2014.05.028.
- 1035 [11] M. A. Zulkifley, M. M. Mustafa, A. Hussain, A. Mustapha, and S. Ramli, "Robust Identification of  
1036 Polyethylene Terephthalate (PET) Plastics through Bayesian Decision," *PLoS ONE*, vol. 9, no. 12,  
1037 p. e114518, Dec. 2014, doi: 10.1371/journal.pone.0114518.
- 1038 [12] E. Scavino, D. A. Wahab, A. Hussain, H. Basri, and M. M. Mustafa, "Application of automated  
1039 image analysis to the identification and extraction of recyclable plastic bottles," *J. Zhejiang  
1040 Univ. Sci. A*, vol. 10, no. 6, pp. 794–799, Jun. 2009, doi: 10.1631/jzus.A0820788.
- 1041 [13] Robben and Wotruba, "Sensor-Based Ore Sorting Technology in Mining—Past, Present and  
1042 Future," *Minerals*, vol. 9, no. 9, p. 523, Aug. 2019, doi: 10.3390/min9090523.
- 1043 [14] "Directive 2008/98/EC of the European Parliament and of the Council of 19 November 2008 on  
1044 waste and repealing certain Directives (Text with EEA relevance)." Accessed: Jan. 18, 2022.  
1045 [Online]. Available: <http://data.europa.eu/eli/dir/2008/98/2018-07-05>
- 1046 [15] B. Küppers, I. Seidler, G. R. Koinig, R. Pomberger, and D. Vollprecht, "INFLUENCE OF  
1047 THROUGHPUT RATE AND INPUT COMPOSITION ON SENSOR-BASED SORTING EFFICIENCY,"  
1048 *Detritus*, no. 9, pp. 59–67, Feb. 2020, doi: 10.31025/2611-4135/2020.13906.

- 1049 [16] R. S. Fitzpatrick, H. J. Glass, and R. D. Pascoe, "CFD–DEM modelling of particle ejection by a  
1050 sensor-based automated sorter," *Miner. Eng.*, vol. 79, pp. 176–184, Aug. 2015, doi:  
1051 10.1016/j.mineng.2015.06.009.
- 1052 [17] R. D. Pascoe, R. Fitzpatrick, and J. R. Garratt, "Prediction of automated sorter performance  
1053 utilising a Monte Carlo simulation of feed characteristics," *Miner. Eng.*, vol. 72, pp. 101–107,  
1054 Mar. 2015, doi: 10.1016/j.mineng.2014.12.026.
- 1055 [18] C. Pieper *et al.*, "Numerical modeling of an automated optical belt sorter using the Discrete  
1056 Element Method," *Powder Technol.*, vol. 301, pp. 805–814, Nov. 2016, doi:  
1057 10.1016/j.powtec.2016.07.018.
- 1058 [19] C. Pieper *et al.*, "Numerical modelling of an optical belt sorter using a DEM–CFD approach  
1059 coupled with particle tracking and comparison with experiments," *Powder Technol.*, vol. 340,  
1060 pp. 181–193, Dec. 2018, doi: 10.1016/j.powtec.2018.09.003.
- 1061 [20] B. Doroszuk and R. Król, "ANALYSIS OF CONVEYOR BELT WEAR CAUSED BY MATERIAL  
1062 ACCELERATION IN TRANSFER STATIONS," *Min. Sci.*, vol. 26, pp. 189–201, 2019, doi:  
1063 10.5277/msc192615.
- 1064 [21] M. J. Cordero and L. A. Pugnali, "Dynamic transition in conveyor belt driven granular flow,"  
1065 *Powder Technology*, vol. 272, pp. 290–294, Mar. 2015, doi: 10.1016/j.powtec.2014.12.017.
- 1066 [22] D. Ilic and C. Wheeler, "Measurement and simulation of the bulk solid load on a conveyor belt  
1067 during transportation," *Powder Technology*, vol. 307, pp. 190–202, Feb. 2017, doi:  
1068 10.1016/j.powtec.2016.11.020.
- 1069 [23] S. Kuang, M. Zhou, and A. Yu, "CFD-DEM modelling and simulation of pneumatic conveying: A  
1070 review," *Powder Technology*, vol. 365, pp. 186–207, Apr. 2020, doi:  
1071 10.1016/j.powtec.2019.02.011.
- 1072 [24] K. Vollmari, "Experimental and Numerical Analysis of Non-Spherical Particles in Fluidized  
1073 Process/Energy Technology Systems," p. 177.



- 1074 [25] Z. Hu, H. Zeng, Y. Ge, W. Wang, and J. Wang, "Simulation and Experiment of Gas-Solid Flow in a  
1075 Safflower Sorting Device Based on the CFD-DEM Coupling Method," *Processes*, vol. 9, no. 7, p.  
1076 1239, Jul. 2021, doi: 10.3390/pr9071239.
- 1077 [26] P. Yin, Y. Hou, and X. Wu, "Simulation of particles screening in pulsating negative pressure shale  
1078 shaker by coupling CFD and DEM," *EC*, vol. ahead-of-print, no. ahead-of-print, Nov. 2021, doi:  
1079 10.1108/EC-12-2020-0737.
- 1080 [27] S. T. W. Kuruneru *et al.*, "A Comparative Study of Mixed Resolved–Unresolved CFD-DEM and  
1081 Unresolved CFD-DEM Methods for the Solution of Particle-Laden Liquid Flows," *Arch Computat*  
1082 *Methods Eng*, vol. 26, no. 4, pp. 1239–1254, Sep. 2019, doi: 10.1007/s11831-018-9282-3.
- 1083 [28] P. Kieckhefen, S. Pietsch, M. Dosta, and S. Heinrich, "Possibilities and Limits of Computational  
1084 Fluid Dynamics–Discrete Element Method Simulations in Process Engineering: A Review of  
1085 Recent Advancements and Future Trends," *Annu. Rev. Chem. Biomol. Eng.*, vol. 11, no. 1, pp.  
1086 397–422, Jun. 2020, doi: 10.1146/annurev-chembioeng-110519-075414.
- 1087 [29] J. Schäfer, S. Dippel, and D. Wolf, "Force Schemes in Simulations of Granular Materials.," *J.*  
1088 *Phys.*, pp. 5–20, 1996, doi: 10.1051/jp1:1996129.
- 1089 [30] Y. C. Zhou, B. D. Wright, R. Y. Yang, B. H. Xu, and A. B. Yu, "Rolling friction in the dynamic  
1090 simulation of sandpile formation," *Physica A: Statistical Mechanics and its Applications*, vol.  
1091 269, no. 2–4, pp. 536–553, Jul. 1999, doi: 10.1016/S0378-4371(99)00183-1.
- 1092 [31] R. Di Felice, "The voidage function for fluid-particle interaction systems," *Int. J. Multiphase*  
1093 *Flow*, vol. 20, no. 1, pp. 153–159, Feb. 1994, doi: 10.1016/0301-9322(94)90011-6.
- 1094 [32] A. Hölzer and M. Sommerfeld, "New simple correlation formula for the drag coefficient of non-  
1095 spherical particles," *Powder Technol.*, vol. 184, no. 3, pp. 361–365, 2008, doi:  
1096 10.1016/j.powtec.2007.08.021.
- 1097 [33] A. Bauer *et al.*, "Benchmarking a DEM-CFD Model of an Optical Belt Sorter by Experimental  
1098 Comparison" [Manuscript under review in *CIT (Chemie Ingenieur Technik)*], 2022.

- 1099 [34] Wilcox, David C, *Turbulence Modeling for CFD*, Third Edition. La Canada, California: DCW  
1100 Industries, 2006.
- 1101 [35] X. Zheng, X. Jian, J. Wei, and D. Wenzheng, "Numerical and Experimental Investigation of Near-  
1102 Field Mixing in Parallel Dual Round Jets," *International Journal of Aerospace Engineering*, vol.  
1103 2016, pp. 1–12, 2016, doi: 10.1155/2016/7935101.
- 1104 [36] R. Naseri Oskouie, M. F. Tachie, and B.-C. Wang, "Effect of Nozzle Spacing on Turbulent  
1105 Interaction of Low-Aspect-Ratio Twin Rectangular Jets," *Flow Turbulence Combust*, vol. 103, no.  
1106 2, pp. 323–344, Aug. 2019, doi: 10.1007/s10494-019-00023-1.
- 1107 [37] J. Ludwig *et al.*, "Systematic Determination of the Influence of Factors Relevant to Operating  
1108 Costs of Sensor-based Sorting Systems," presented at the SBSC 2022.
- 1109 [38] H. Wotruba and C. Robben, "Sensor-based ore sorting in 2020," *at - Automatisierungstechnik*,  
1110 vol. 68, no. 4, pp. 231–238, Apr. 2020, doi: 10.1515/auto-2019-0060.
- 1111 [39] G. Maier *et al.*, "Experimental Evaluation of a Novel Sensor-Based Sorting Approach Featuring  
1112 Predictive Real-Time Multiobject Tracking," *IEEE Trans. Ind. Electron.*, vol. 68, no. 2, pp. 1548–  
1113 1559, Feb. 2021, doi: 10.1109/TIE.2020.2970643.
- 1114 [40] F. Pfaff *et al.*, "TrackSort: Predictive Tracking for Sorting Uncooperative Bulk Materials," San  
1115 Diego, California, USA, Sep. 2015.
- 1116 [41] F. Pfaff *et al.*, "Simulation-Based Evaluation of Predictive Tracking for Sorting Bulk Materials,"  
1117 Baden-Baden, Germany, Sep. 2016.
- 1118 [42] G. Maier *et al.*, "Real-Time Multitarget Tracking for Sensor-Based Sorting," *Journal of Real-Time*  
1119 *Image Processing*, 2017.
- 1120 [43] G. Maier *et al.*, "Motion-Based Material Characterization in Sensor-Based Sorting," *tm -*  
1121 *Technisches Messen, De Gruyter*, Oct. 2017, doi: 10.1515/teme-2017-0063.
- 1122 [44] A. Leonhard, *Untersuchungen zum pneumatischen Sortieren von Schüttgütern mittels*  
1123 *Freistrahlen*. Aachen: Shaker, 2011.

- 1124 [45] X. Garcia, J.-P. Latham, J. Xiang, and J. P. Harrison, "A clustered overlapping sphere algorithm to  
1125 represent real particles in discrete element modelling," *Géotechnique*, vol. 59, no. 9, pp. 779–  
1126 784, Nov. 2009, doi: 10.1680/geot.8.T.037.
- 1127 [46] F. Elskamp, H. Kruggel-Emden, M. Hennig, and U. Teipel, "A strategy to determine DEM  
1128 parameters for spherical and non-spherical particles," *Granular Matter*, vol. 19, no. 3, p. 46,  
1129 Aug. 2017, doi: 10.1007/s10035-017-0710-0.
- 1130 [47] D. Höhner, S. Wirtz, and V. Scherer, "Experimental and numerical investigation on the influence  
1131 of particle shape and shape approximation on hopper discharge using the discrete element  
1132 method," *Powder Technology*, vol. 235, pp. 614–627, Feb. 2013, doi:  
1133 10.1016/j.powtec.2012.11.004.
- 1134 [48] D. B. Hastie and P. W. Wypych, "Conveyor belt trajectories - comparing predicted to  
1135 experimental results," pp. 438–445, 2010.
- 1136 [49] "MATLAB Documentation." Jan. 19, 2022. [Online]. Available:  
1137 <https://de.mathworks.com/help/gads/how-the-genetic-algorithm-works.html>
- 1138 [50] H.-G. Matuttis and J. Chen, *Understanding the Discrete Element Method Simulation of Non-  
1139 Spherical Particles for Granular and Multi-body Systems*, 1. Edition. Wiley, 2014.
- 1140 [51] Thomas Roessler, Christian Richter, André Katterfeld, and Frank Will, "Development of a  
1141 standard calibration procedure for the DEM parameters of cohesionless bulk materials – part I:  
1142 Solving the problem of ambiguous parameter combinations," *Powder Technol.*, vol. 343, pp.  
1143 803–812, 2018, doi: <https://doi.org/10.1016/j.powtec.2018.11.034>.
- 1144 [52] H. Tang, R. Song, Y. Dong, and X. Song, "Measurement of Restitution and Friction Coefficients  
1145 for Granular Particles and Discrete Element Simulation for the Tests of Glass Beads," *Materials*,  
1146 vol. 12, no. 19, p. 3170, Sep. 2019, doi: 10.3390/ma12193170.
- 1147

## 1148 **Appendix A: Calibration of DEM contact parameters**

1149 The calibration of DEM parameters was performed partly adapting the procedure of [46]. We  
1150 start with the experiment that is influenced by the least number of contact parameters, which  
1151 leads to the following order with the initial values given in braces.

1152 1) Static angle of repose. Mainly influenced by particle – particle (pp) friction (0.5) and pp  
1153 rolling friction (0.01)

1154 2) Dynamic angle of repose: Influenced by pp and particle – wall (pw) contacts. PP  
1155 parameters are taken from the preceding experiment, pw friction (0.3) and pw rolling  
1156 friction (5e-3).

1157 3) Plate impact experiment. Friction parameters play a role; major impact is given by  
1158 coefficient of restitution (COR) of pp (0.3) and pw (0.2) contacts.

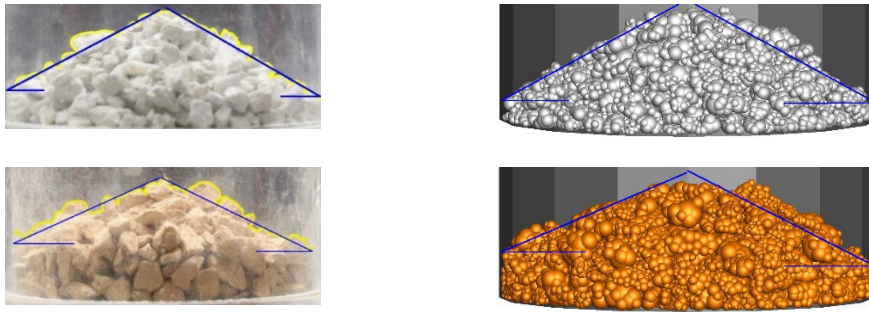
1159 4) As a verification step, simulation runs 1) and 2) are repeated with all calibrated  
1160 parameters to ensure agreement with the experiments.

1161

### 1162 **A.1 Static angle of repose**

1163 The static angle of the repose experiment is a standard investigation (see [50], [51]) to  
1164 determine mechanical friction quantities of bulks. A bulk sample is filled into an open cylinder,  
1165 which is then slowly pulled up. The filled material falls out and forms a characteristic pile with  
1166 a corresponding angle of repose that depends on the material friction. To exclude the influence  
1167 of pw parameters as well as COR, a sensitivity study was conducted as the first step, whereby  
1168 pw friction parameters and COR were varied in a vast range. As expected, the static angle of  
1169 the repose experiment showed to be not responsive to those quantities. Simulations with  
1170 different parameter sets were run until the formed pile angles (Fig. A-1 right) agreed with the  
1171 experiments (Fig. A-1 left). The resulting angles and quantity ranges are shown in Tab. A-1.  
1172 Since friction also occurs at the plate impact (see Section A.3), final values can only be  
1173 determined by the last experiment and must be validated again (see Section A.4).

1174



1175 Fig. A-1: Static angle of repose of both materials in experiment and simulation.

1176

Material	Experiment [°]	Simulation [°]	Sliding friction	Rolling friction
			P-P [-]	P-P [-]
Sand-lime brick	29.0	30.7	0.13-0.20	$2 \cdot 10^{-2}$
Brick	24.2	24.3	0.15-0.22	$5.8 \cdot 10^{-3}$

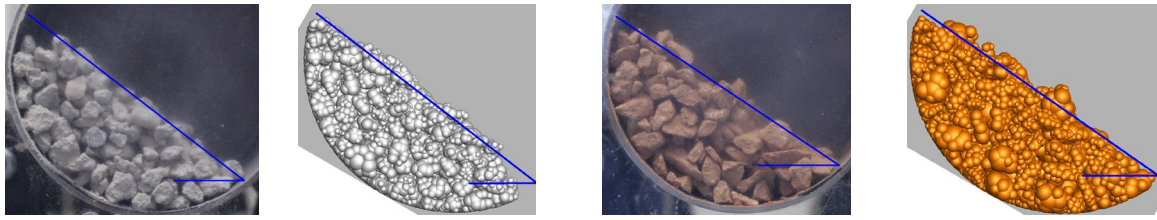
1177 Tab. A-1: Static angles of repose and determined contact parameters (sliding and rolling  
1178 friction) for both materials.

1179

## 1180 A.2 Dynamic angle of repose

1181 The next set of contact parameters is calibrated by measuring the dynamic angle of repose.  
1182 Here, a drum was filled by 30 %, and the dynamic angle of repose was measured at three  
1183 different rotation velocities, i.e., 10, 15 and 20 rotations per minute. Experiments were repeated  
1184 10 times for each configuration. Two different wall materials were used. To account for both  
1185 wall materials, the drum interior was glued with conveyor belt rubber in a second step after  
1186 investigating the sorter wall material (steel). Significant differences between the two wall  
1187 materials were, however, not detected. Exemplary results on the dynamic angle of repose are  
1188 shown in Fig. A-2 for both sand-lime brick and brick as obtained in the experiment and  
1189 simulation for steel as wall material. The measured angles and friction parameters are  
1190 summarized in Tab. A-2.

1191



1192 Fig. A-2: Dynamic angle of repose of both materials in experiment and simulation, 10 rotations  
 1193 per minute for steel as wall material.

1194

Material	Experiment [°]			Simulation [°]			Sliding friction P-SW and P-CB [-], Rolling friction P-SW and P-CB [-]
	10 rpm	15 rpm	20 rpm	10 rpm	15 rpm	20 rpm	
Sand-lime brick	35.9	36.6	36.7	34.0	35.7	38.2	0.50, $7.5 \cdot 10^{-3}$
Brick	37.0	35.7	36.3	35.3,	34.0	38.7	0.67, $5.8 \cdot 10^{-3}$

1195 Tab. A-2: Dynamic angles of repose and determined contact parameters (sliding and rolling  
 1196 friction) for both materials. (P) refers to either sand-lime brick or brick, (CB) to the conveyor  
 1197 belt and (SW) to the sorter wall material.

1198

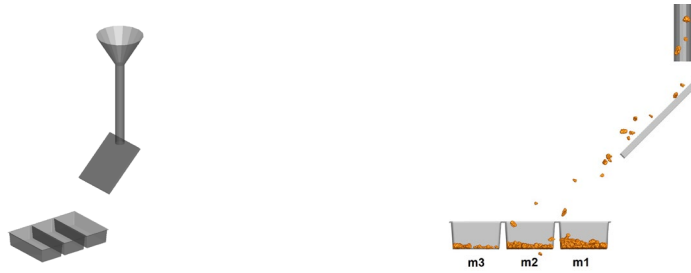
### 1199 **A.3 Plate impact investigation**

1200 To determine coefficients of restitution for particle-particle and particle-wall contacts, a plate  
 1201 impact experiment was conducted (see Fig. A-3 a). The experiments were run by dropping 200  
 1202 g of material onto a 45° inclined plate, on which the contact material of interest was fixed,  
 1203 namely particles or wall material. Dropped material was collected in three containers (m1 –  
 1204 m3) and weighted for each container (see Fig. A-3 b). The experiments were repeated 10  
 1205 times. This approach was chosen due to the highly irregular-shaped particles that do not allow  
 1206 for a precise measurement of the COR in a single contact scenario, as it is common for spheres  
 1207 [52].

1208

a)

b)



1209 Fig. A-3: Plate impact experiment setup (a) and simulated drop of brick on sorter wall material  
 1210 (b).

1211

1212 Calibration was performed with respect to mass proportions in the first two boxes, m1 and m2,  
 1213 because masses in m3 were too small and fluctuating. CORs were varied, as were the friction  
 1214 parameters, because sliding also occurred between contact partners. Due to the short contact  
 1215 time of the particles in the experiment, rolling friction is neglected as a parameter.

1216 Tab. A-3 sums up mass proportions as well as coefficients of restitution (COR) and sliding  
 1217 friction coefficients as obtained as best fit in the simulations. Note that conveyor belt and sorter  
 1218 wall material did not differ significantly in terms of the obtained mass proportion. Therefore,  
 1219 both materials are associated with the same COR and sliding friction values.

1220

Material	Experiment (P-CB and P- SW)	Experiment (P-SB)	Experiment (P-B)	Simulation (P-CB, P- SW), COR, sliding friction		Simulation, (P-SB), COR, sliding friction		Simulation, (P-B), COR, sliding friction	
Sand-lime brick	1.54	1.62	1.47	1.54, 0.19, 0.45	1.63, 0.19, 0.17	1.47, 0.215, 0.16			
Brick	1.58	1.86	1.5	1.59, 0.1, 0.6	1.8, 0.215, 0.165	1.5, 0.24, 0.14			

1221 Tab. A-3: Mass proportions  $m_1/m_2$  of plate impact and determined contact parameters (COR,  
1222 sliding friction) for both materials. (P) refers to either sand-lime brick or brick, (SB) to sand-lime  
1223 brick, (B) to brick, (CB) to the conveyor belt and (SW) to the sorter wall material.

1224

#### 1225 **A.4 Verification of DEM contact parameters**

1226 To verify all determined simulation parameters, simulations for static and dynamic angle of  
1227 repose were repeated with altered sliding friction parameters and COR calibrated with the plate  
1228 impact experiment. In the validation simulations, we observed that slight changes in friction  
1229 parameters that were made for plate impact calibration in addition to the adjusted values for  
1230 COR (details on this investigation not given) still resulted in angles within the range of angle of  
1231 repose experiments (Sections A.1 and A.2). As a result, we have successfully calibrated our  
1232 material model. The final calibrated parameters are given in Tab. 1 in the main section of the  
1233 manuscript.